

DOs and DO NOTs of Subgrade Maintenance

**Australian Rail Track
Corporation, LTD**

ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



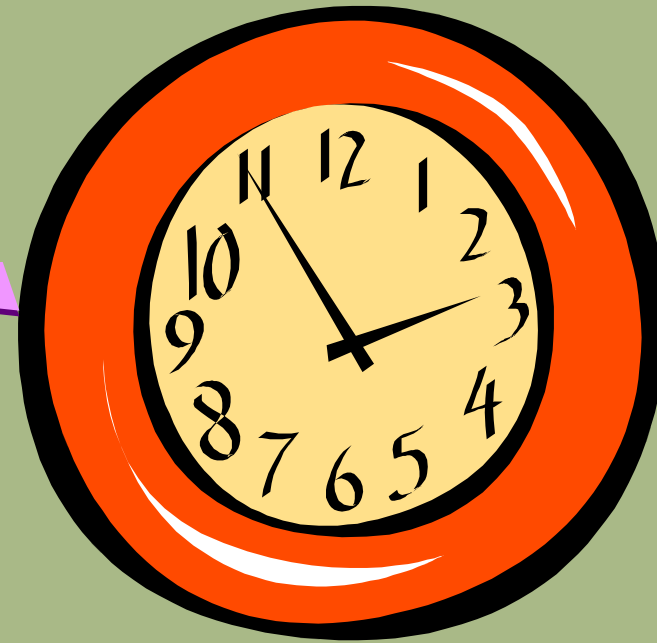
CRS, inc.

Cantrell Rail Services, Inc.

Why are we here?

- Improve Safety
- Improve quality of the track structure
- Reduce re-work
- Review examples of real life problems
- Exchange stories and experiences





CRS, inc.
Cantrell Rail Services, Inc.



Today's Program

1. Subgrade Maintenance
2. Trench Drain Construction



Presentation Outline

- **Soft Track**
- **Ballast Pockets**
- **Drainage**
- **Culverts**
- **Construction Practices**
- **Over Steepened Slopes**
- **Riprap**
- **Record Keeping**

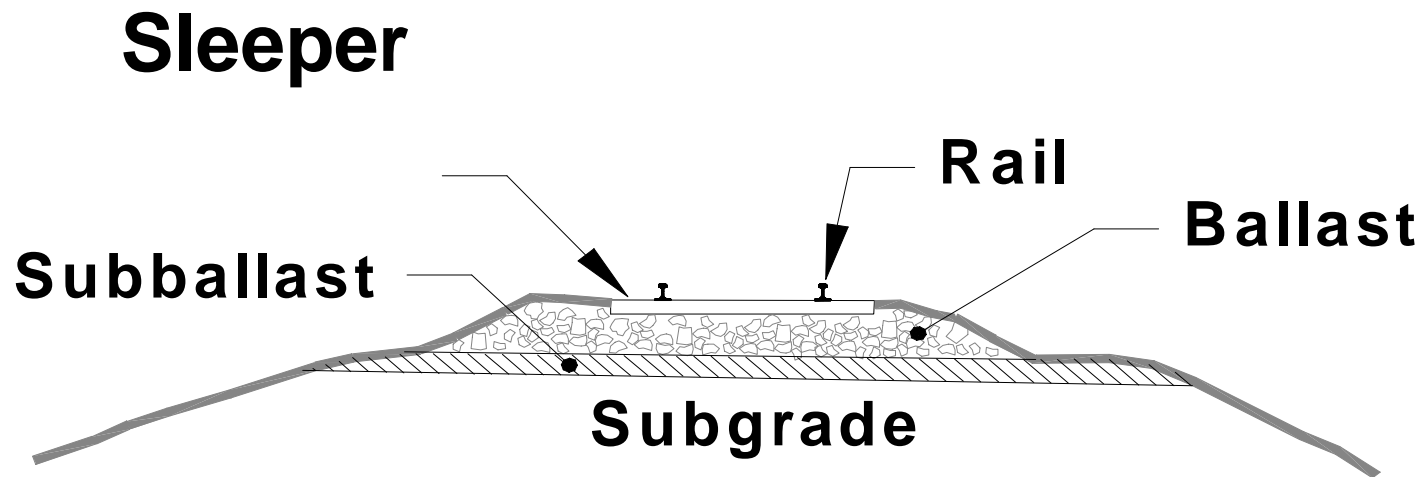


Presentation Outline

- **Soft Track**
- Ballast Pockets
- Drainage
- Culverts
- Construction Practices
- Over Steepened Slopes
- Riprap
- Record Keeping

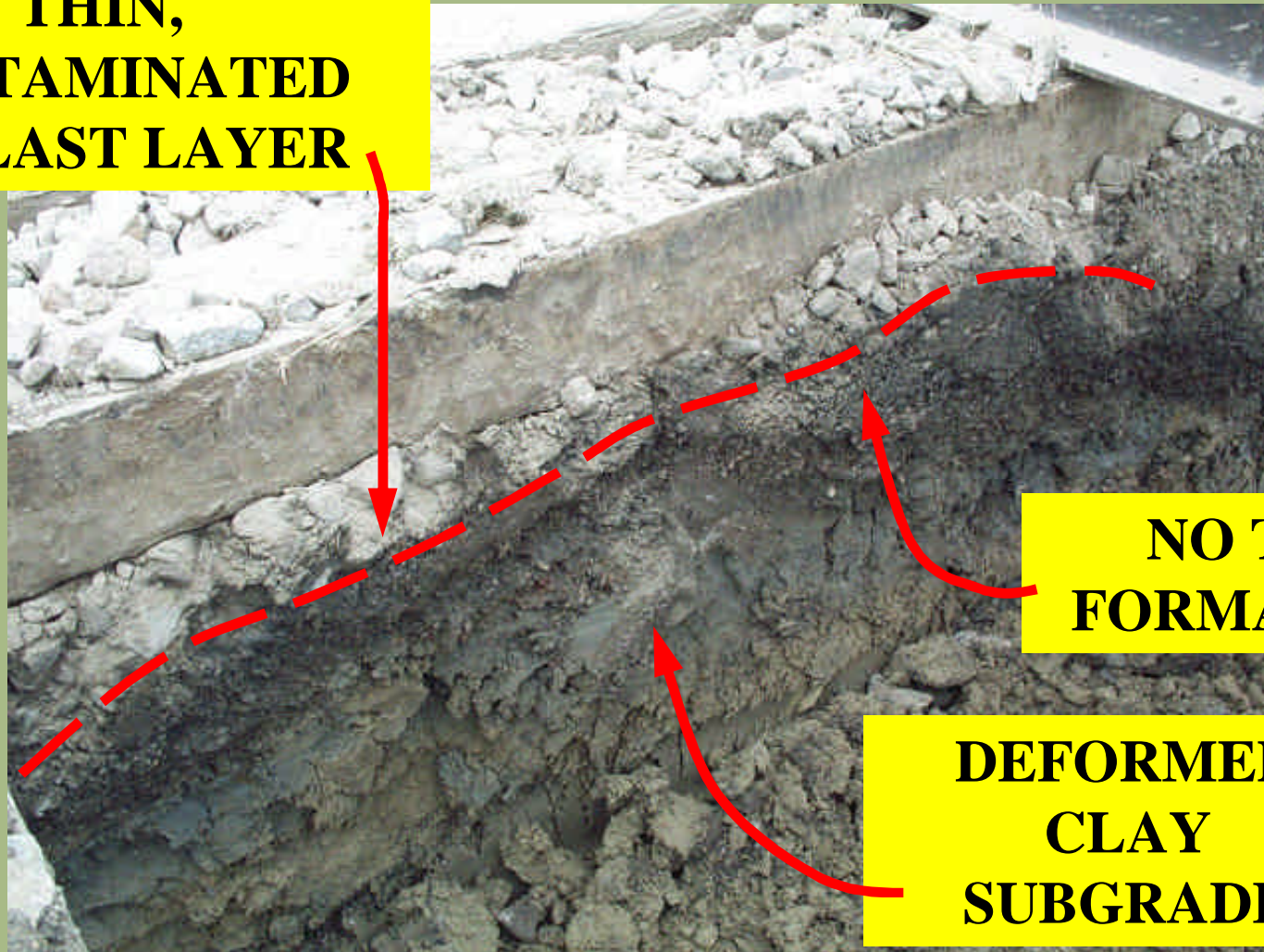


Idealized Track Section



Less Than Ideal Track Section

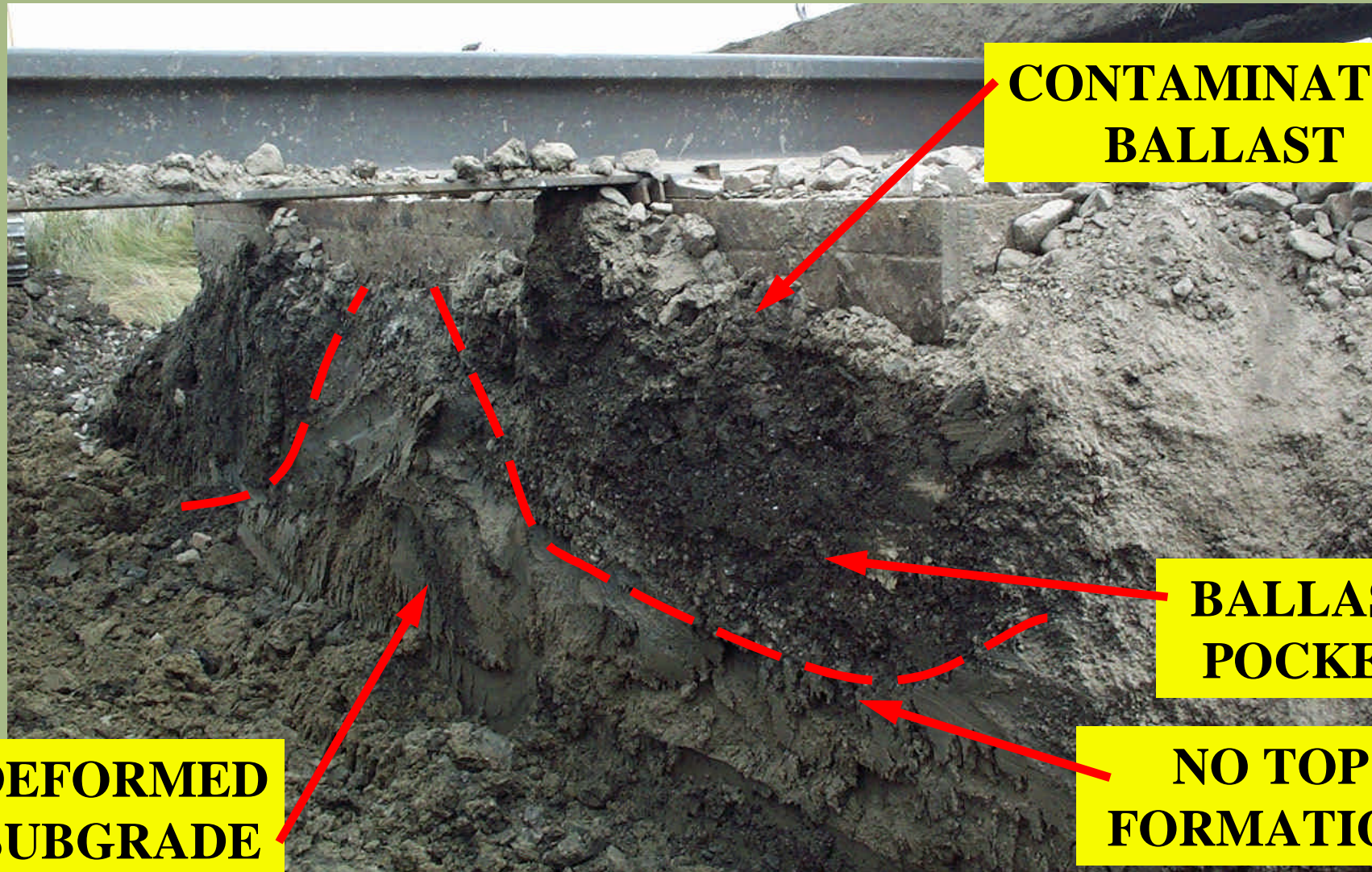
**THIN,
CONTAMINATED
BALLAST LAYER**



**NO TOP
FORMATION**

**DEFORMED
CLAY
SUBGRADE**

Another Less than Ideal Track Section



**CONTAMINATED
BALLAST**

**BALLAST
POCKET**

**DEFORMED
SUBGRADE**

**NO TOP
FORMATION**

What is “Soft Track” ?

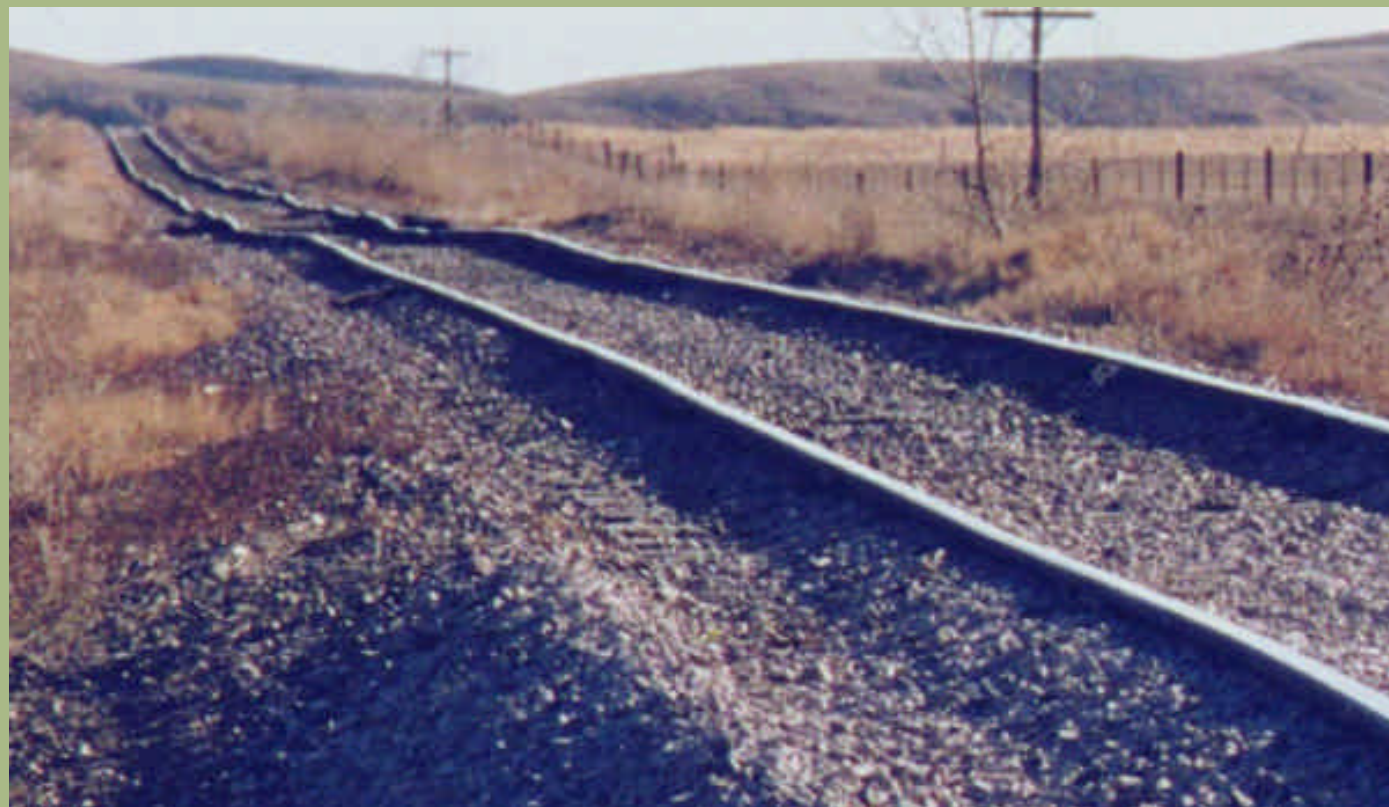


AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.



What is “Soft Track” ?



AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.



What is “Soft Track” ?



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

What is “Soft Track” ?



AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.
Cantrell Rail Services, Inc.



What is “Soft Track” ?

ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.



What is “Soft Track” ?

- Ballast failure and pumping track
- Top Formation failure
- Shallow subgrade failures
- Rigid layer “mud” pumping
- Embankment failure
- Landslides



Contributors to “Soft Track”

- Water
- Weak Subgrade Soil
- Overstressed Soil
- Fouled Ballast
- Poor Initial Construction
- Poor Maintenance Practices
- Over Loaded and Over Steepened Slopes



Water is a Major Contributor to Soft Track

... and the key to reducing the
occurrence of soft track is

DRAINAGE

DRAINAGE

DRAINAGE



Presentation Outline

- Soft Track
- **Ballast Pockets**
- Drainage
- Culverts
- Construction Practices
- Over Steepened Slopes
- Riprap
- Record Keeping

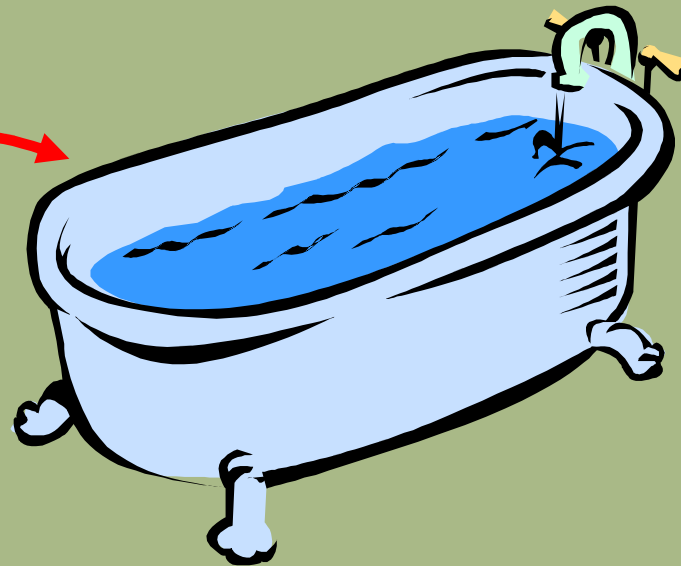


The Ballast Pocket

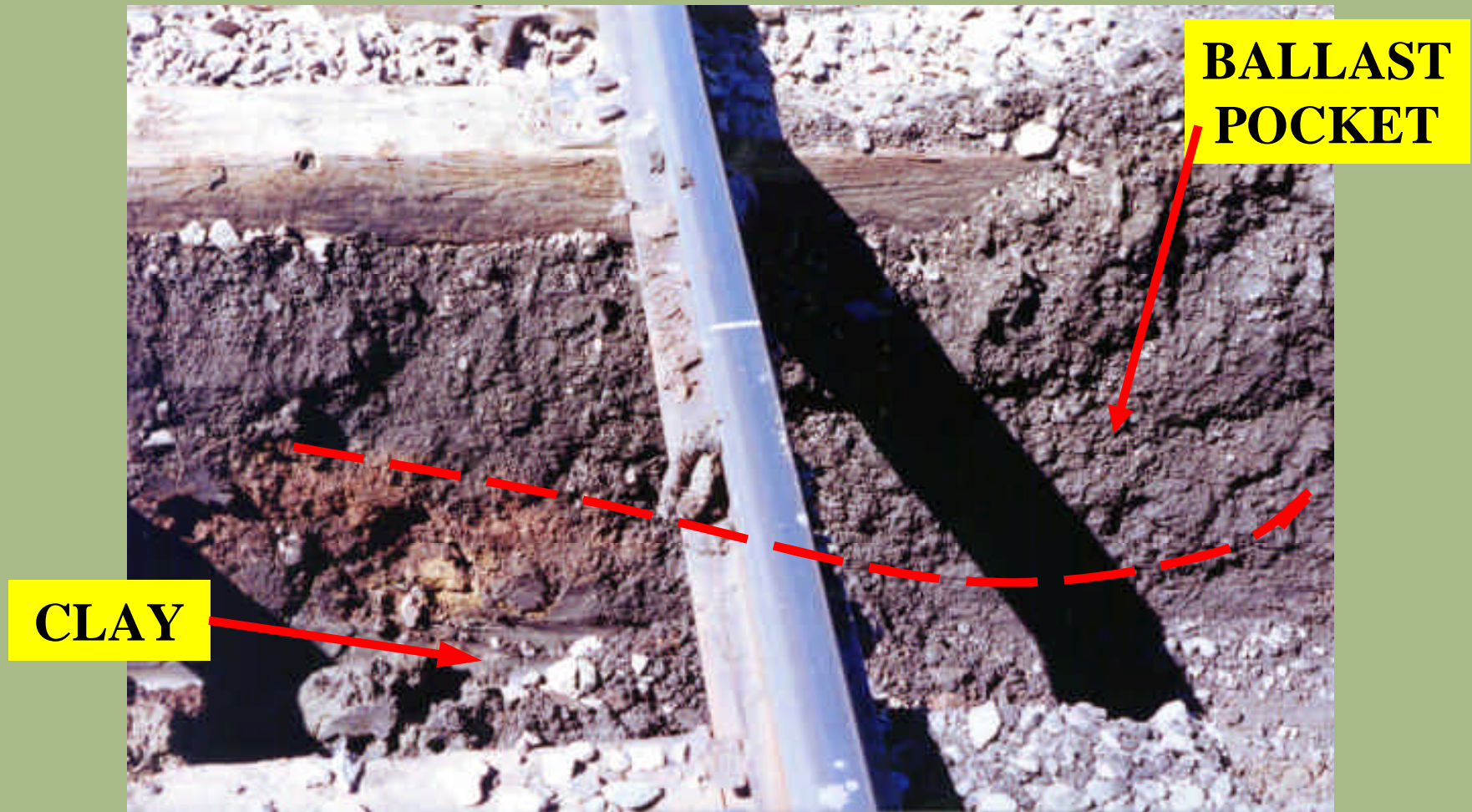
... Water trapped in a ballast pocket is a major contributor to many soft track situations ...



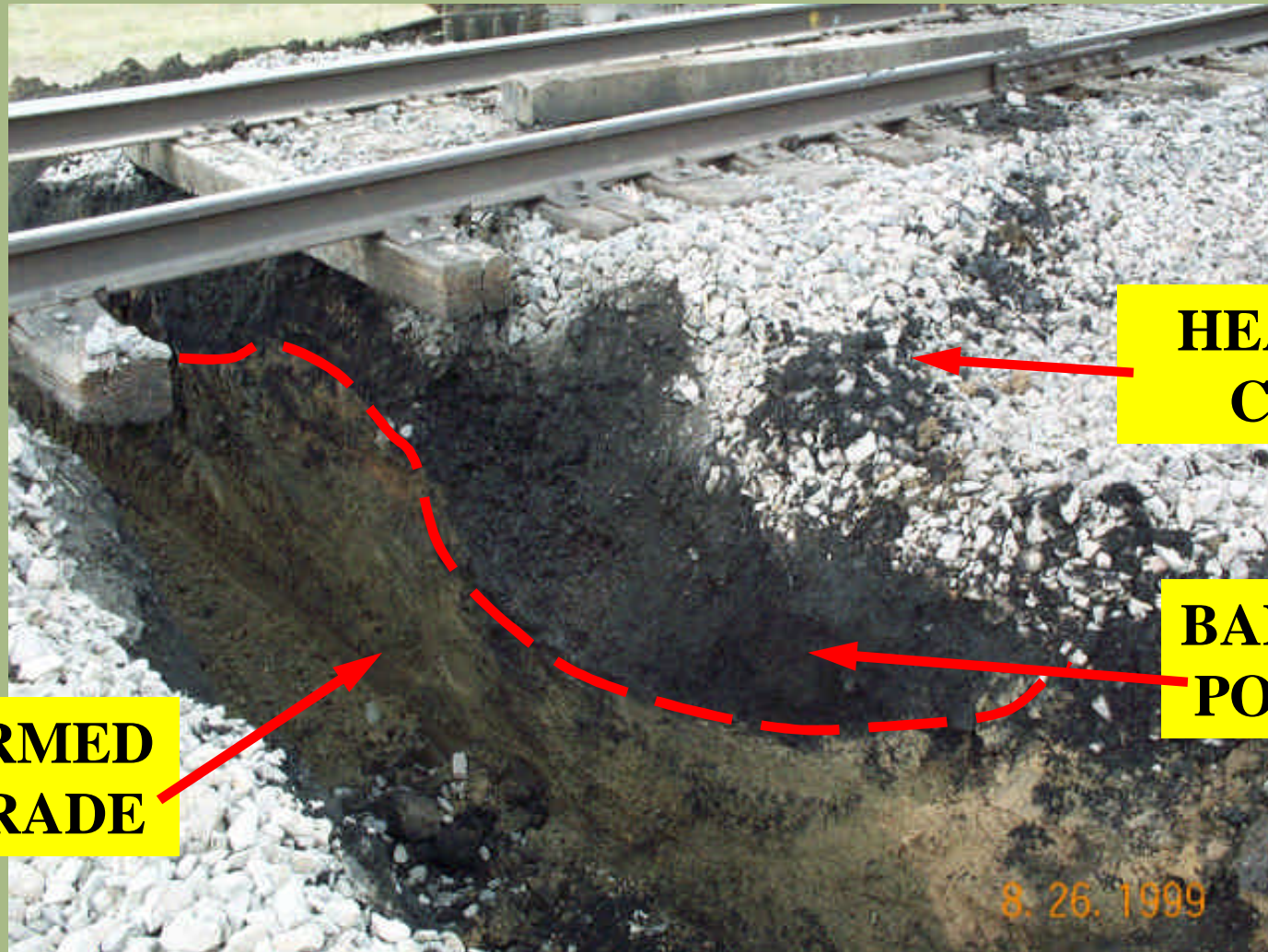
Ballast Pocket



Ballast Pocket Exposed in Trench below Track



Ballast Pockets under each Rail



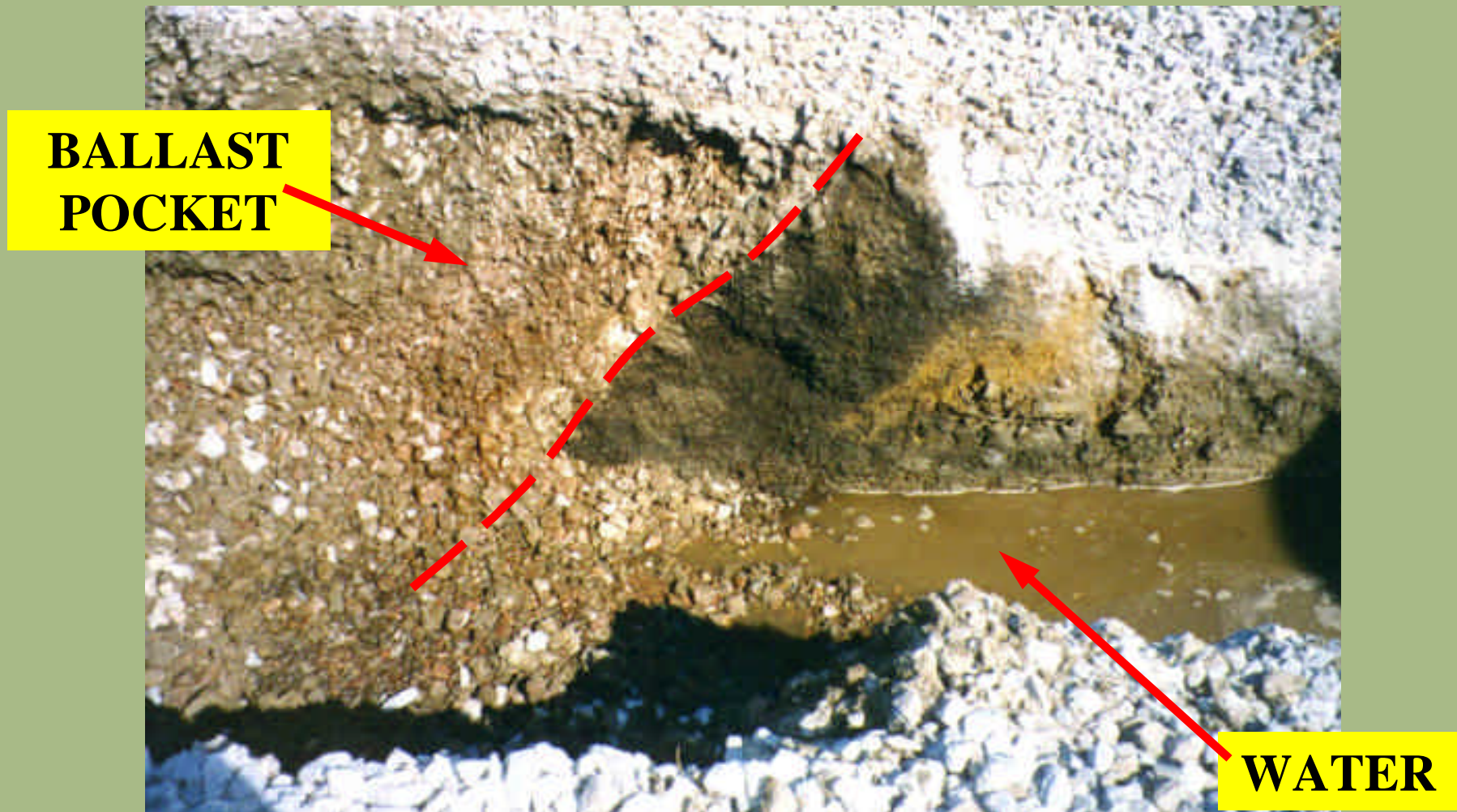
**DEFORMED
SUBGRADE**

**HEAVED
CESS**

**BALLAST
POCKET**

8. 26. 1999

Water Flowing from Ballast Pocket



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

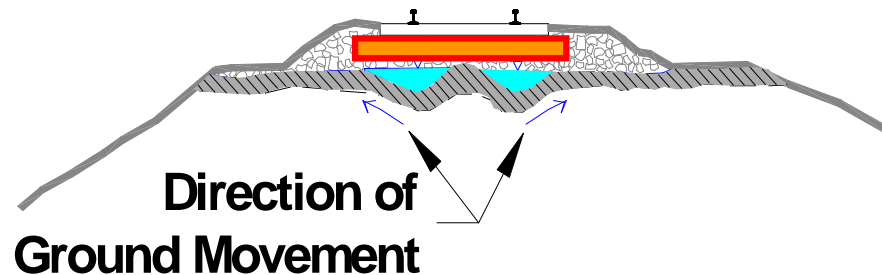
Cantrell Rail Services, Inc.

Freight Train

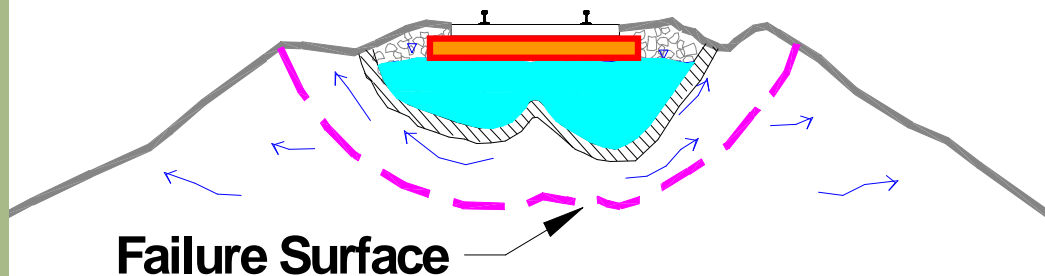
©March 10, 1898

Thomas A. Edison

Ballast Pockets associated with Common Subgrade Failure Modes

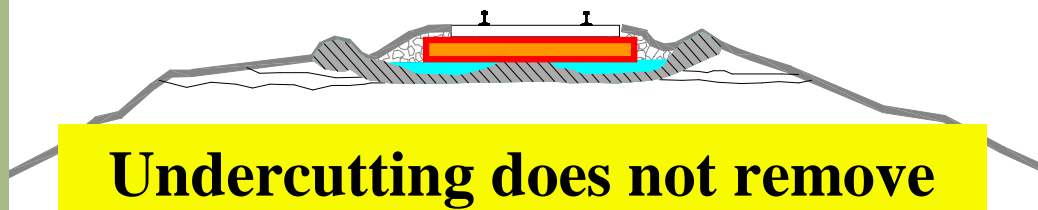


Ballast pocket development.
Water trapped in depressions under rail.



Bearing failure

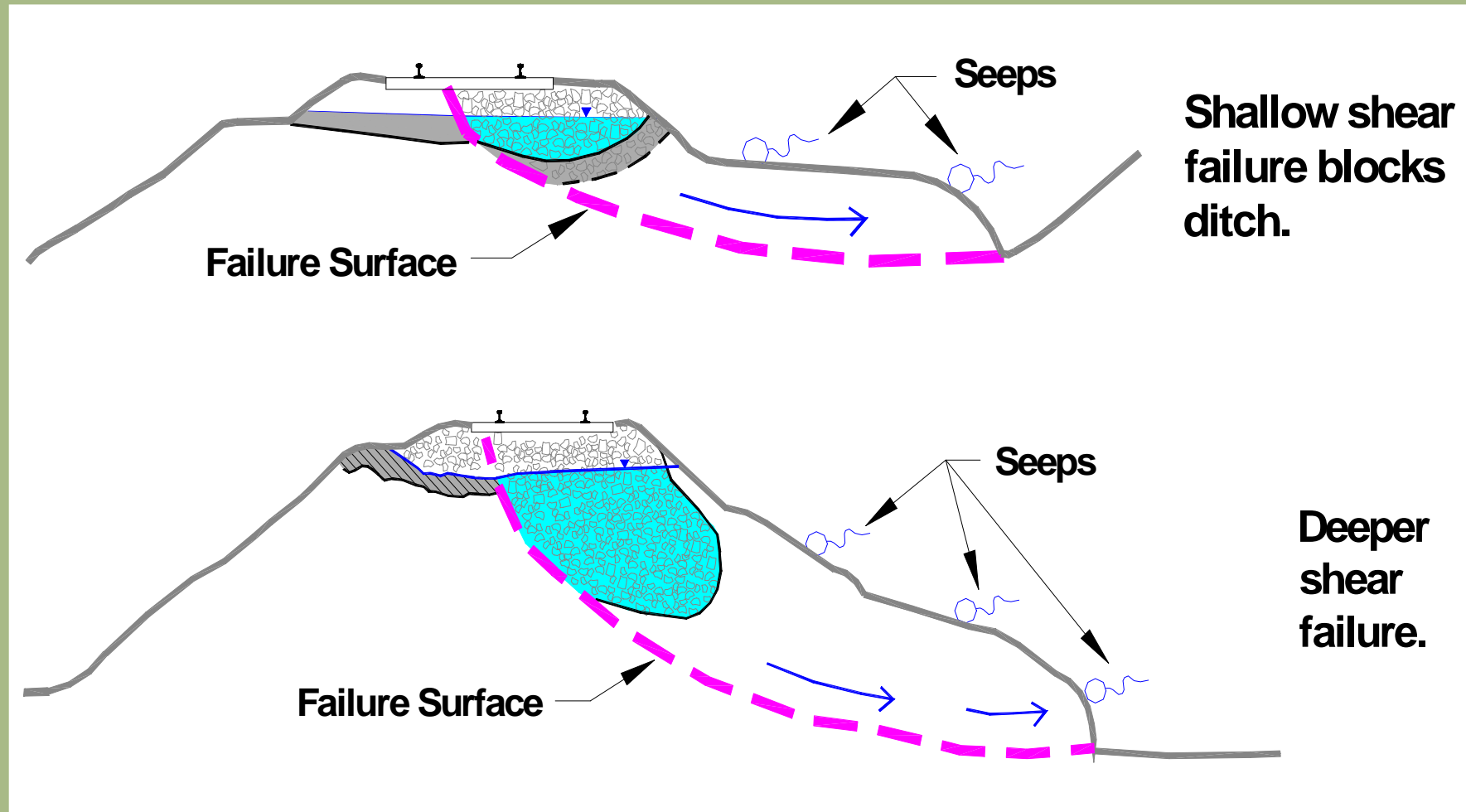
Water saturated track structure.



Top Formation squeeze, minimal subgrade deformation.

Undercutting does not remove or drain the ballast pocket

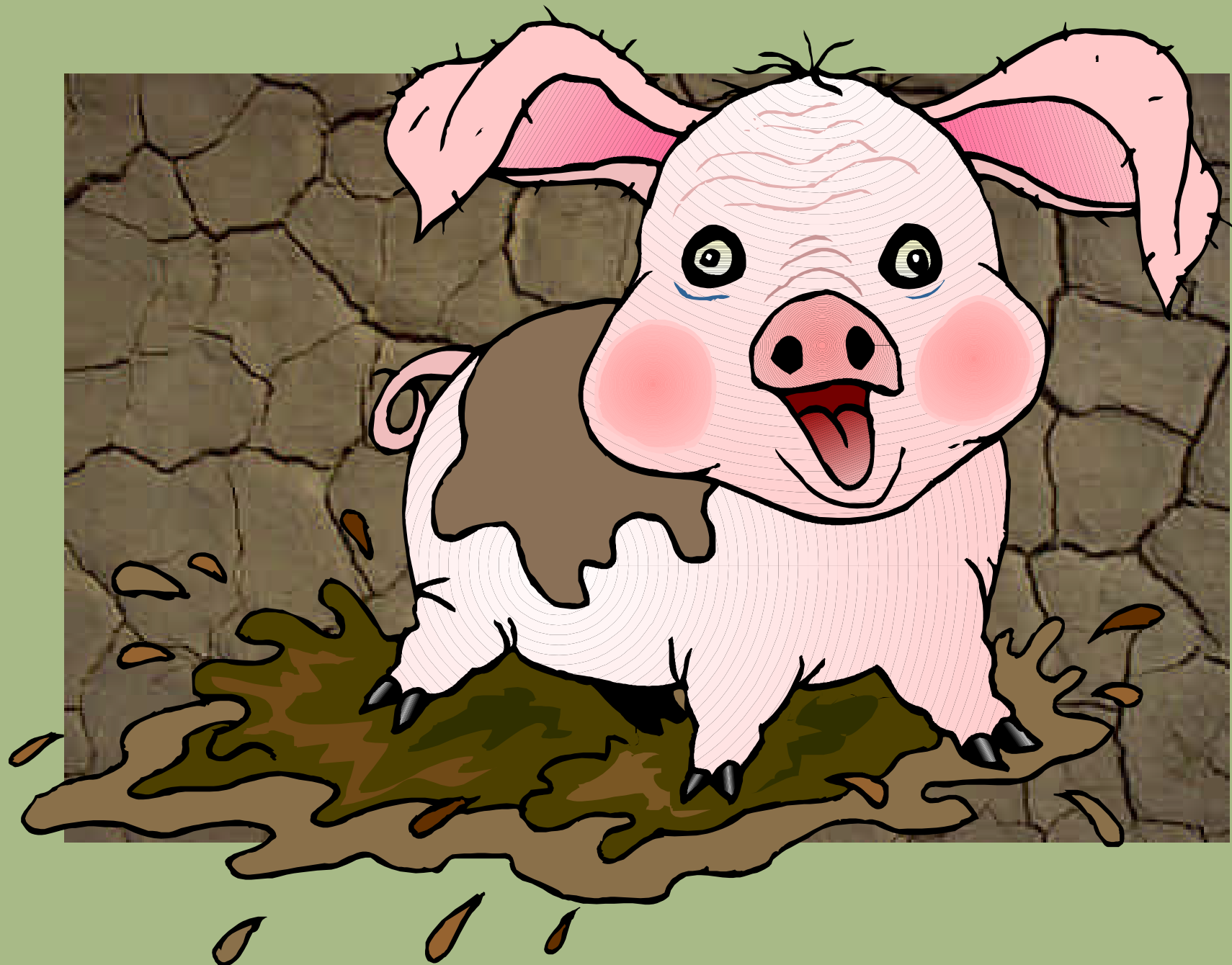
Ballast Pockets associated with Common Subgrade Failure Modes



Presentation Outline

- Soft Track
- Ballast Pockets
- **Drainage**
- Culverts
- Over Steepened Slopes
- Construction Practices
- Riprap
- Record Keeping





Sources of Water



- Rain or snow
- Surface water infiltration
- Water within the track structure
- Groundwater



Proper Surface Drainage

... is probably the least expensive and most easily implemented measure for preventing soft track problems or improving performance of soft track areas ...



Indicators of Poor Drainage

ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Wet Ground near or on the Embankment



Ponded Water Adjacent to Track



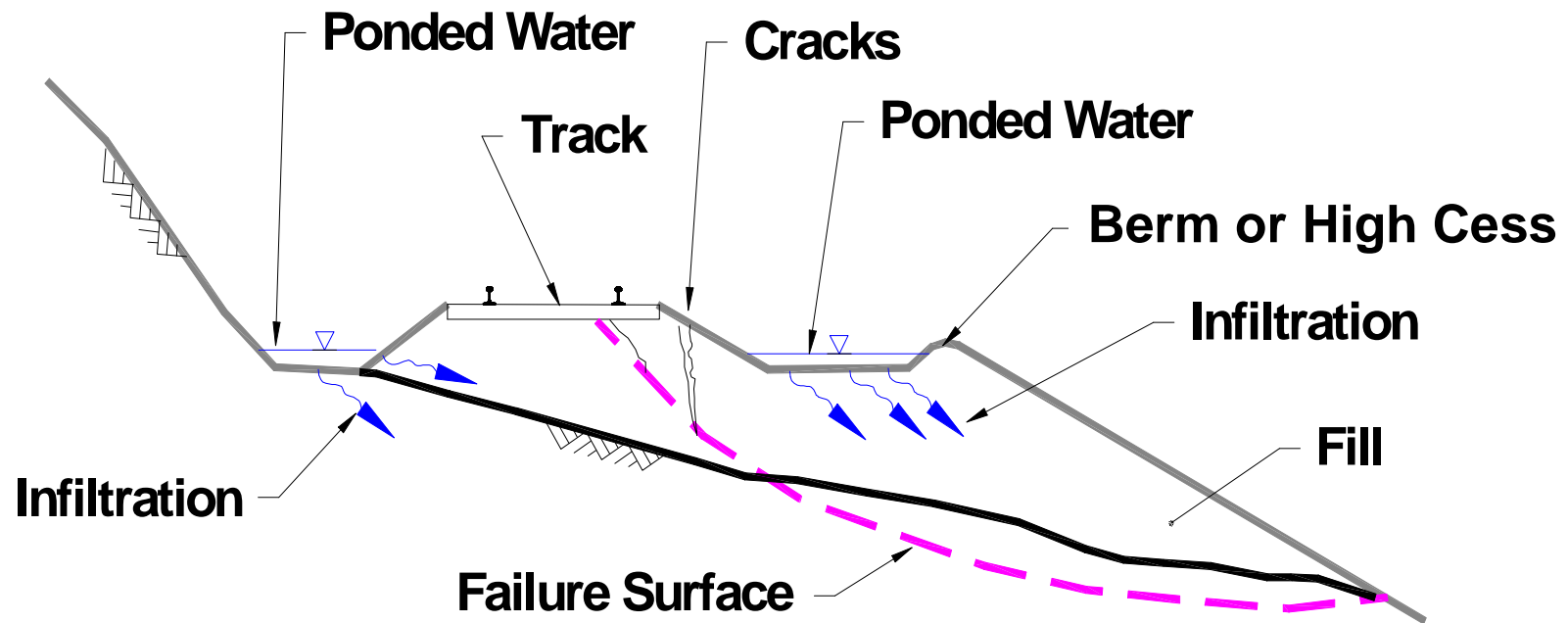
Cattails on Slope above Track



Water Ponded in Ditch and Wetlands Plants



Infiltration and Embankment Failure Resulting from Poor Drainage



Cess Failure due in part to Poor Surface Drainage



**BERM ALONG
CESS**

**PONDED WATER
CONTRIBUTED
TO FAILURE**

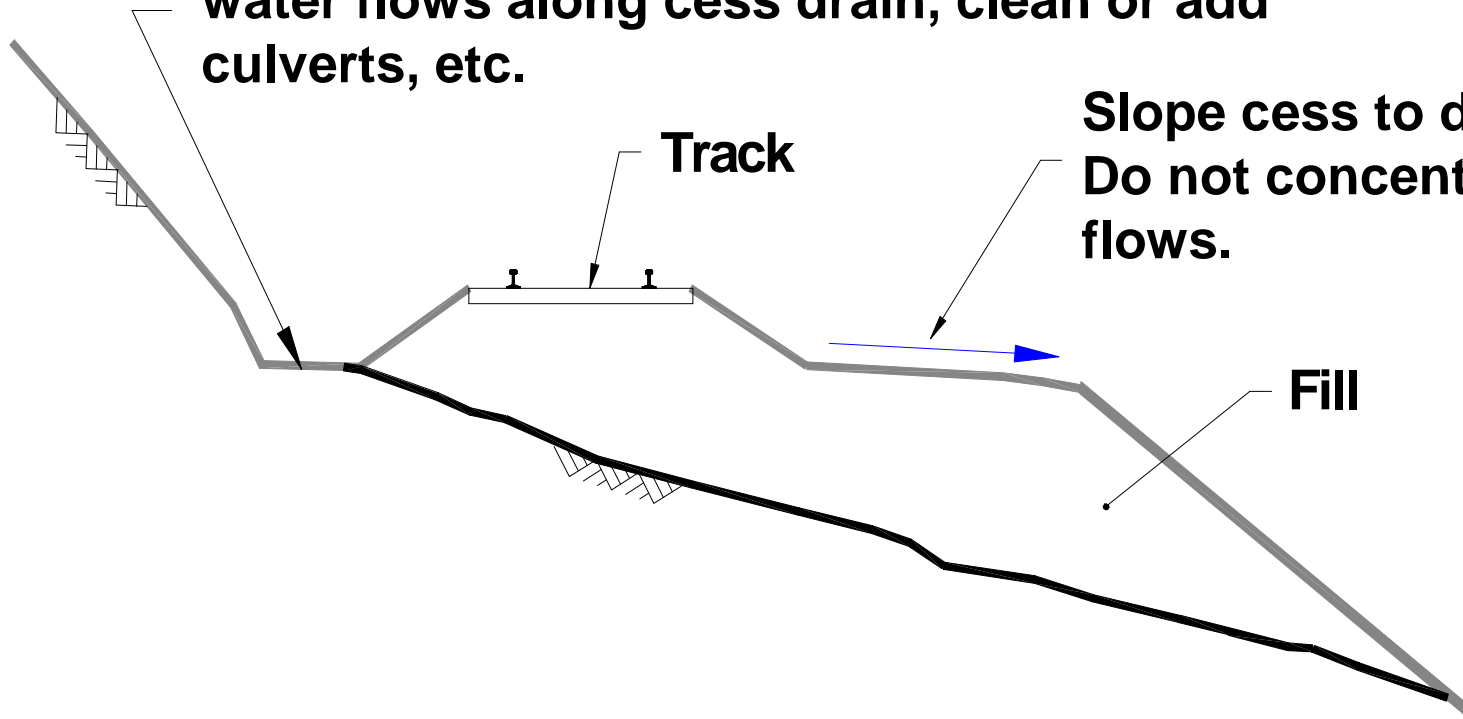
Improved Surface Drainage

Clean cess drain, provide adequate slope so water flows along cess drain, clean or add culverts, etc.

**Slope cess to drain.
Do not concentrate
flows.**

Track

Fill



Surface Drainage Dos and Do Not's

- DO divert water away from track
- DO keep cess drains clean and graded
- DO keep cesses clean and sloped to drain
- DO NOT let water pond
- DO NOT let water infiltrate the embankment



Subsurface Drainage Systems

... are effective for

- draining water from soil
- draining water from ballast pockets
- draining water from cracks in the ground
- lowering the groundwater elevation
- intercepting water flowing toward the track



Examples of Subsurface Drainage Systems

- Drains oriented perpendicular to the track
- Drains oriented parallel to the track
- Drains in wet areas further away from the track

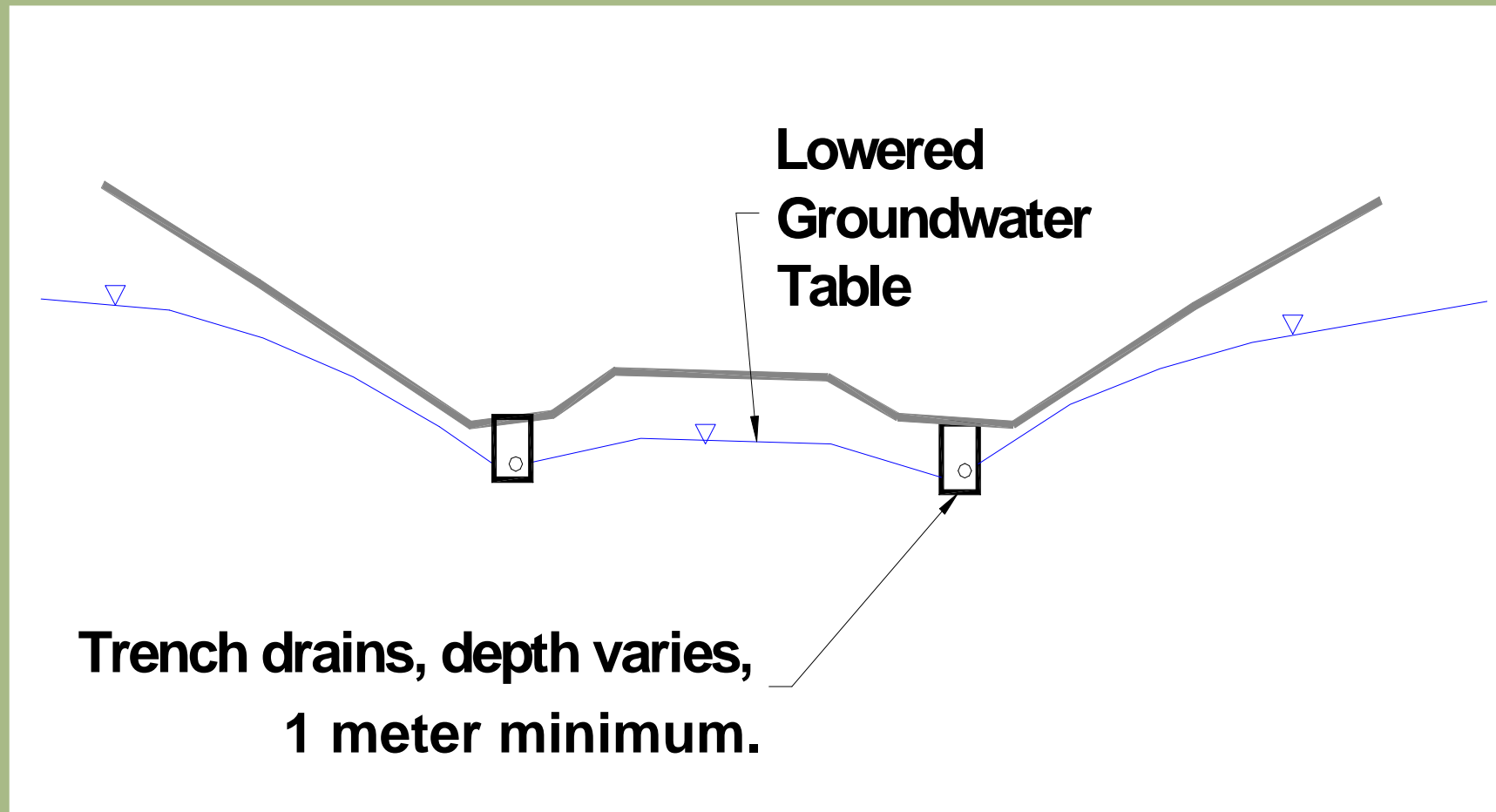


Trench Drains Perpendicular to Track

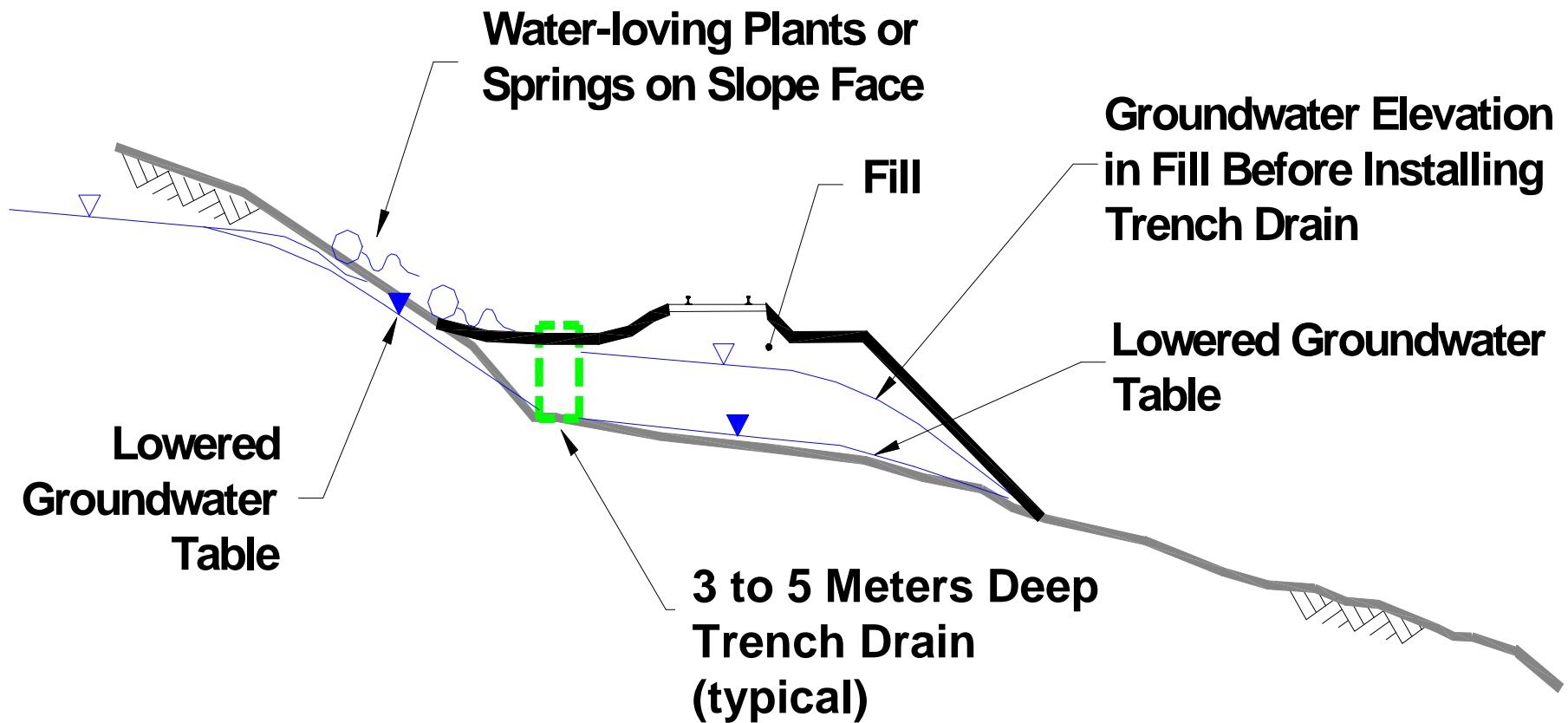


... are discussed in the second half of the course

Shallow Trench Drains Installed Parallel to the Track



Deep Trench Drain Parallel to Track



Subsurface Drainage Dos and Do Nots

- DO drain ballast pockets
- DO construct cutoff drains upgrade of soft track
- DO NOT construct deep drains without the assistance of experienced personnel
- DO NOT get in trenches



Presentation Outline

- Soft Track
- Ballast Pockets
- Drainage
- **Culverts**
- Over Steepened Slopes
- Construction Practices
- Riprap
- Record Keeping



Culverts

... are part of the surface drainage system ...



AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

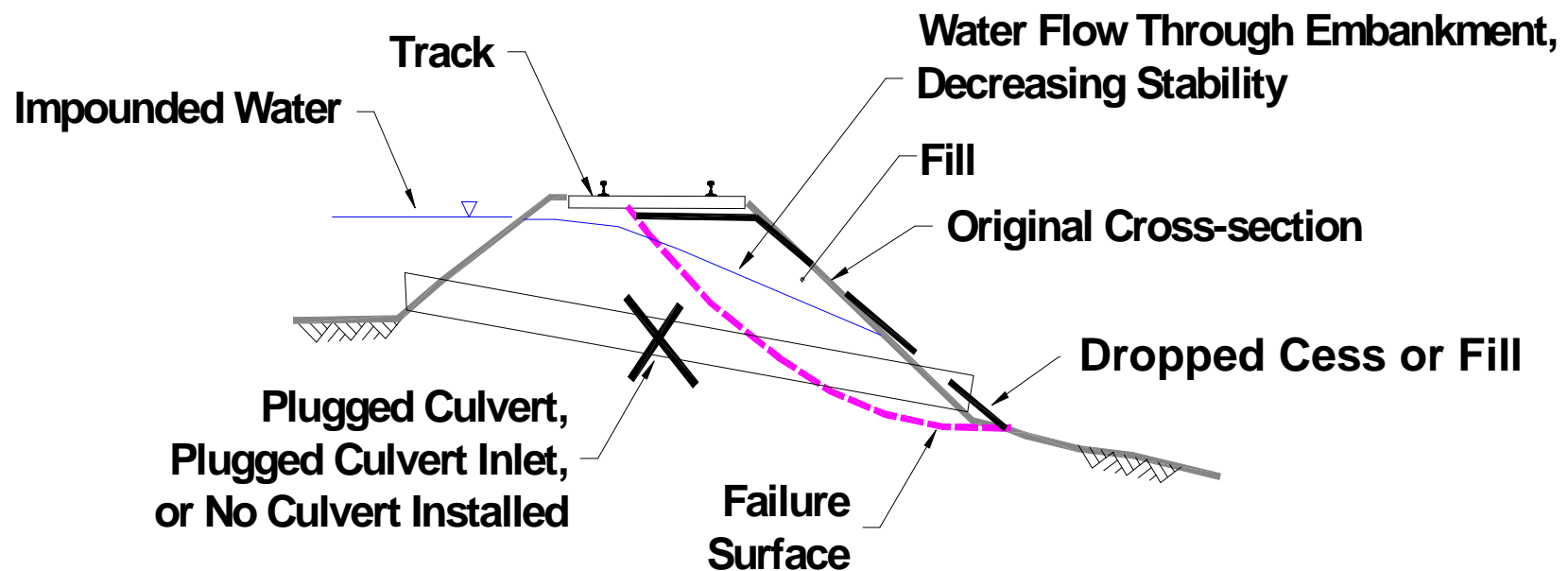
Cantrell Rail Services, Inc.

Common Culvert Problems

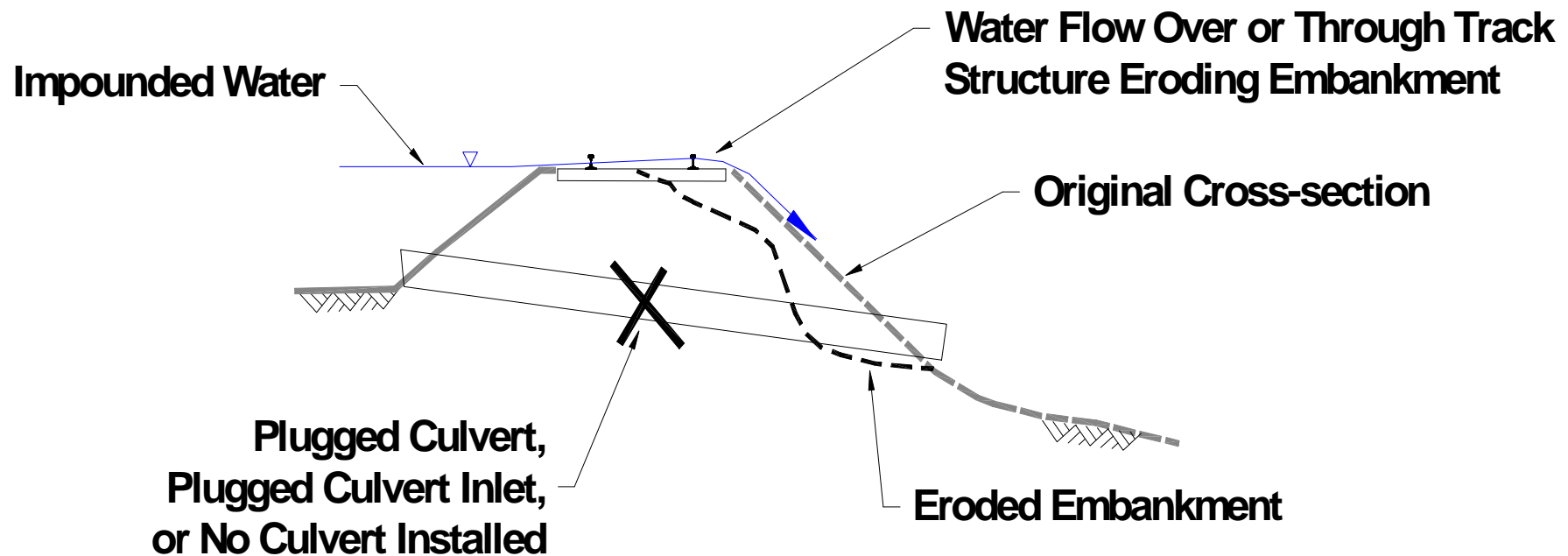
- No culvert installed
- Culvert too small
- Spacing between culverts too great
- Culvert plugged
- Culvert damaged
- Drainage toward inlet not properly graded
- Culvert discharges onto embankment



Embankment Failure Resulting from Impounded Water



Erosion of Embankment Slope by Water Flowing Over the Embankment



Culvert Issues

- **Maintenance**
- Installation
- Inlet Protection and Trash Racks
- Outlet Erosion Protection



Plugged Culverts





Washout because of Plugged Culvert





**Beaver Dam
around
Culvert Inlet**



Embankment failure after beavers plugged inlets



Culvert Issues

- Maintenance
- **Installation**
- Inlet Protection and Trash Racks
- Outlet Erosion Protection



Culvert Installation

**... when done properly ensures that
the culvert and embankment
function properly ...**



Prepare Foundation and Bedding



CRS, inc.
Cantrell Rail Services, Inc.

Trench Safety !

**Sloping, Benching, and
Shoring !**



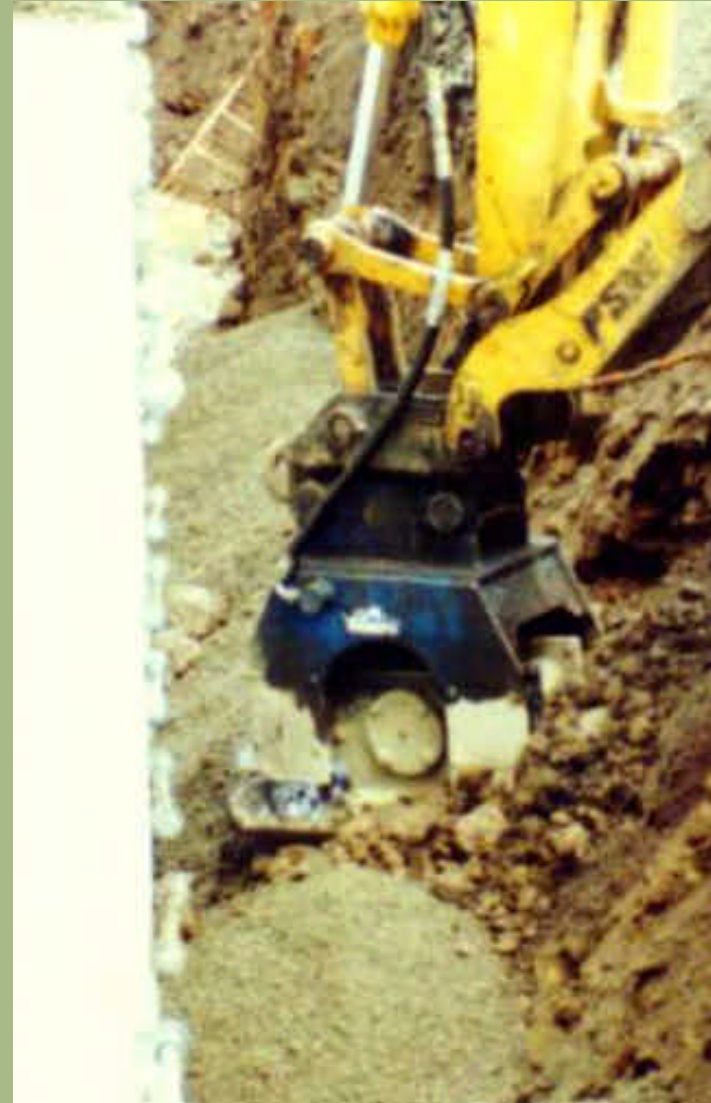
**Use appropriate
backfill material**





**Place fill below
pipe haunches**

Compact Backfill Material !



Remove temporary supports after completing installation



CRS, inc.
Cantrell Rail Services, Inc.



Additional Installation Concerns

- **Grade cess drain so that water flows toward culvert inlet**
- **Use low permeability backfill soil around inlet end of culvert**
- **Construct concrete headwall or cutoff wall around inlet**



Culvert Issues

- Maintenance
- Installation
- **Inlet Protection and Trash Racks**
- Outlet Erosion Protection



Consider inlet structures, flared inlets, and other protection for inlets



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Lined inlet channels and cess drains are sometimes appropriate



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Culvert Inlet Trash Racks

... are one method to reduce the potential for culverts and culvert inlets to become plugged with debris ...



Horizontal Trash Rack may be easily Plugged with Debris



Riser Trash Racks over existing Horizontal Trash Racks



Vertical Trash Rack



Overflow Risers / Secondary Inlets



Culvert inlets with trash racks and overflow inlets



Culvert Issues

- Maintenance
- Installation
- Inlet Protection and Trash Racks
- **Outlet Erosion Protection**



Erosion Below Culvert Outlets

... another all too common contributor to embankment failures ...



Erosion Below Culvert Outlet



**OLD, DAMAGED
WOODEN CULVERT**



**Erosion
below
Culvert
Outlet**

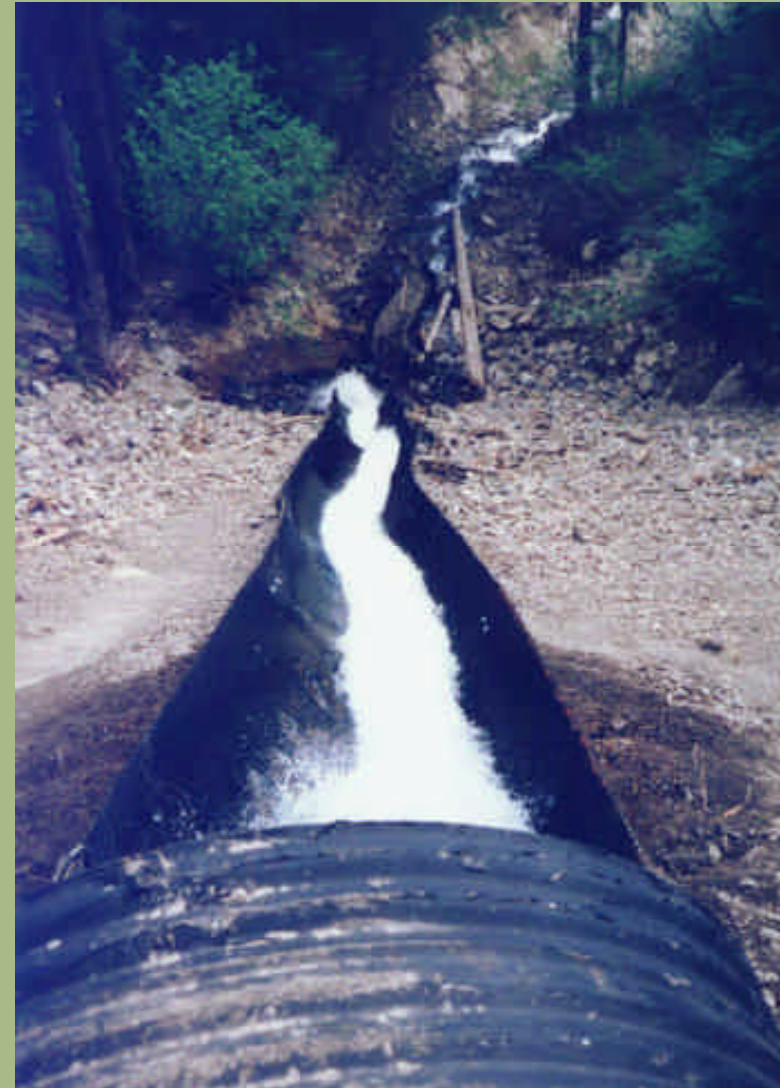




**Extend
Culverts or
Protect Slope**



Discharge at Toe of Slope



Line Discharge Channels with Riprap



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Use Outlet Structures if Appropriate



ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Culvert Dos and Do Nots

- DO maintain culverts in good condition
- DO replace culverts that are too small
- DO properly install culverts
- DO install inlet structures and trash racks where appropriate
- DO NOT let culverts or culvert inlets become clogged
- DO NOT discharge culverts on unprotected embankments



Presentation Outline

- Track Components
- Soft Track
- Ballast Pockets
- Drainage and Culverts
- **Construction Practices**
- Over Steepened Slopes
- Riprap
- Record Keeping

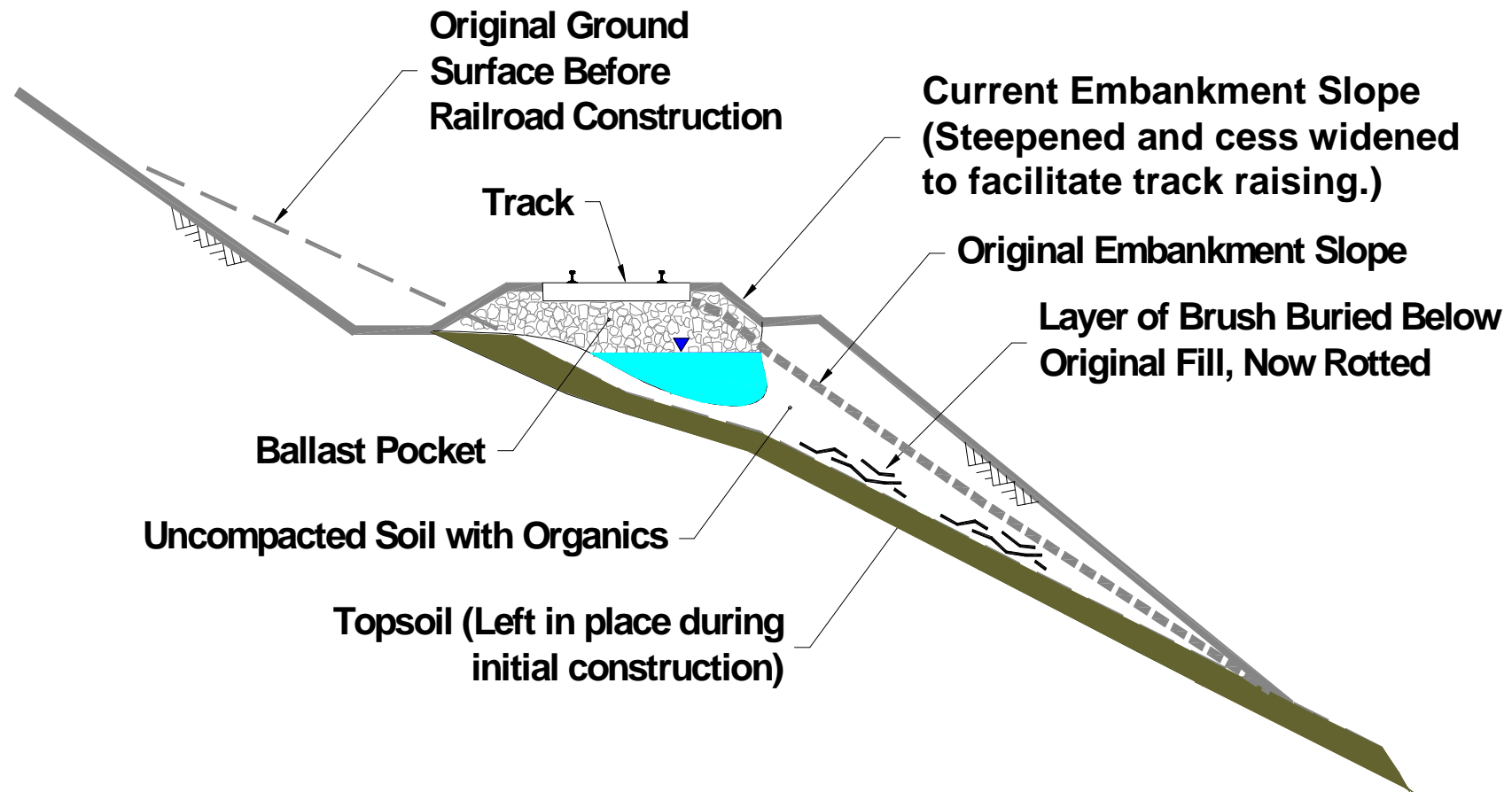


Poor Construction Practice

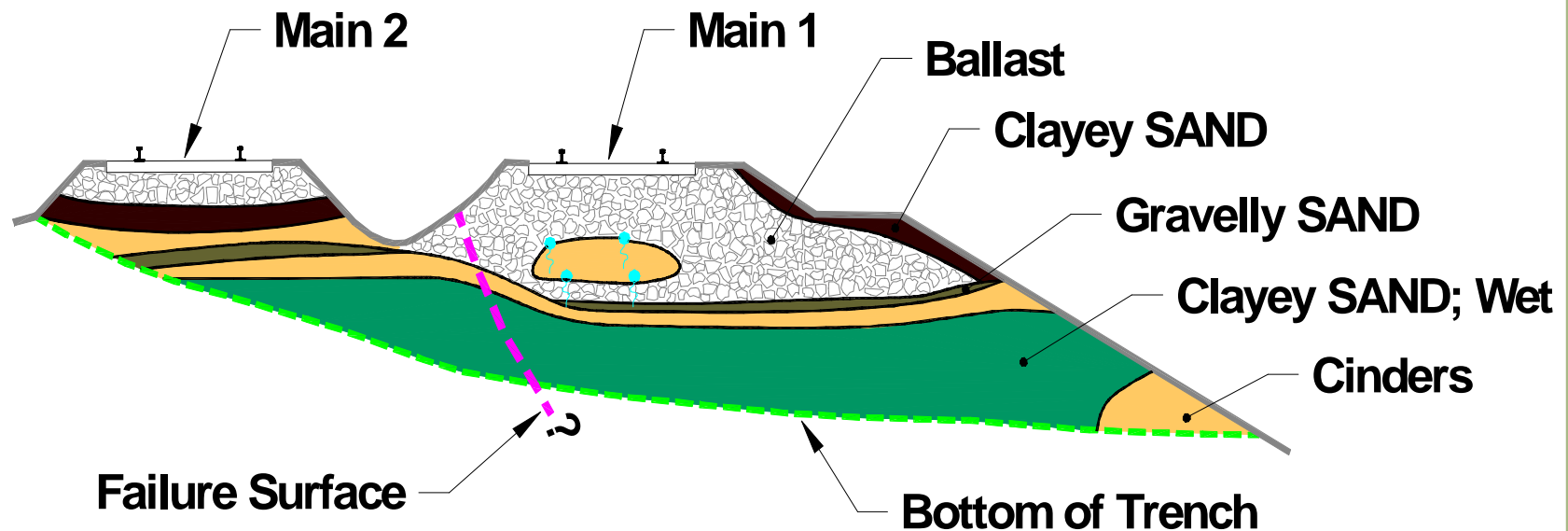
**... can create problems that are
difficult and expensive to deal with
later ...**



An Old Side-hill Fill Railroad Embankment



The Severity of Embankment Problems may Increase Over Time

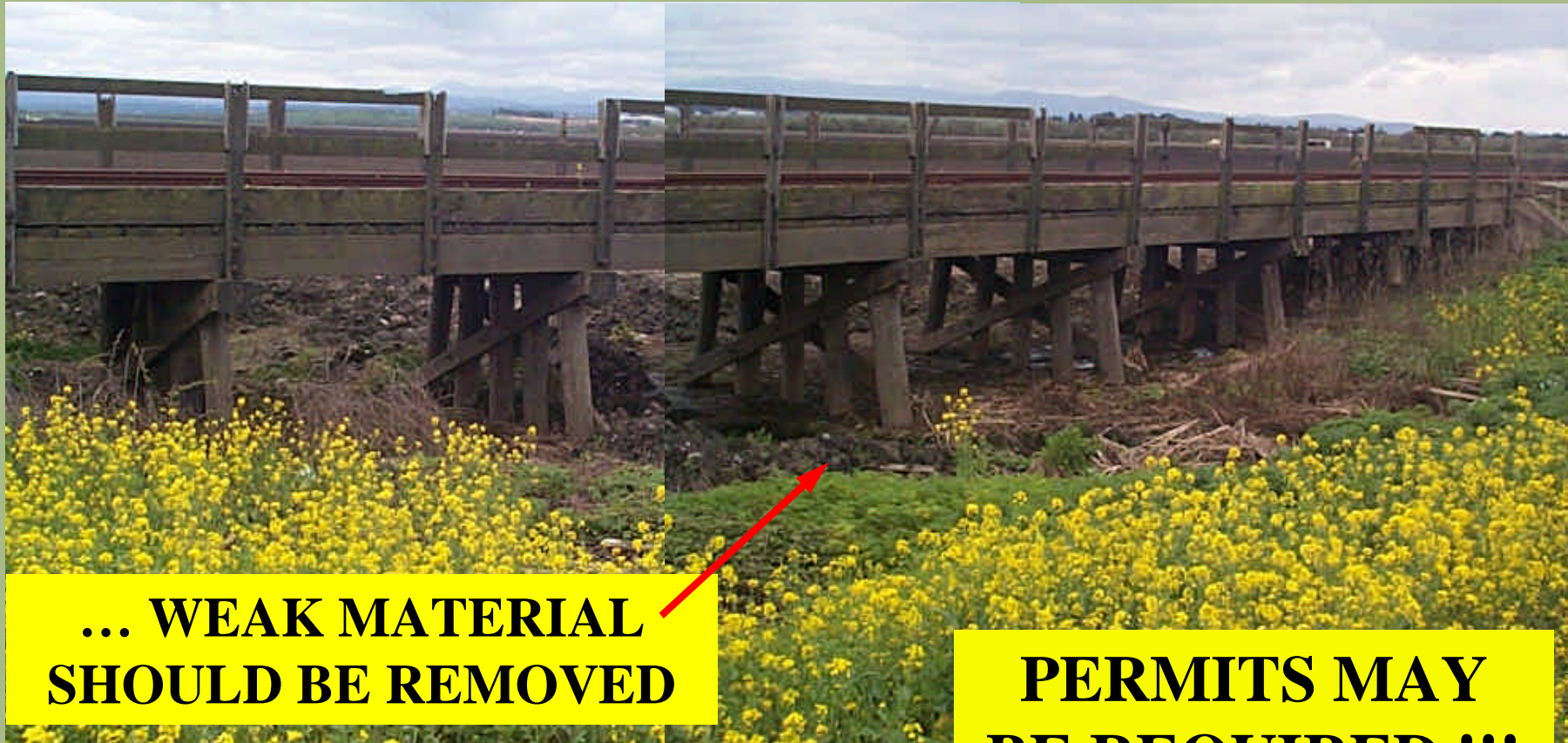


Bridge Fills

**... Embankment stability problems
are frequently associated with
trestles and bridges that have been
replaced with fills ...**



Prior to placing fill ...



**... WEAK MATERIAL
SHOULD BE REMOVED**

**PERMITS MAY
BE REQUIRED !!!**



**... fill materials
should be
compacted**

**If compaction is out of
the question**

- use quality fill material
or special techniques**



An Example of Problems at a Timber Bridge that was Replaced with Fill

ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.





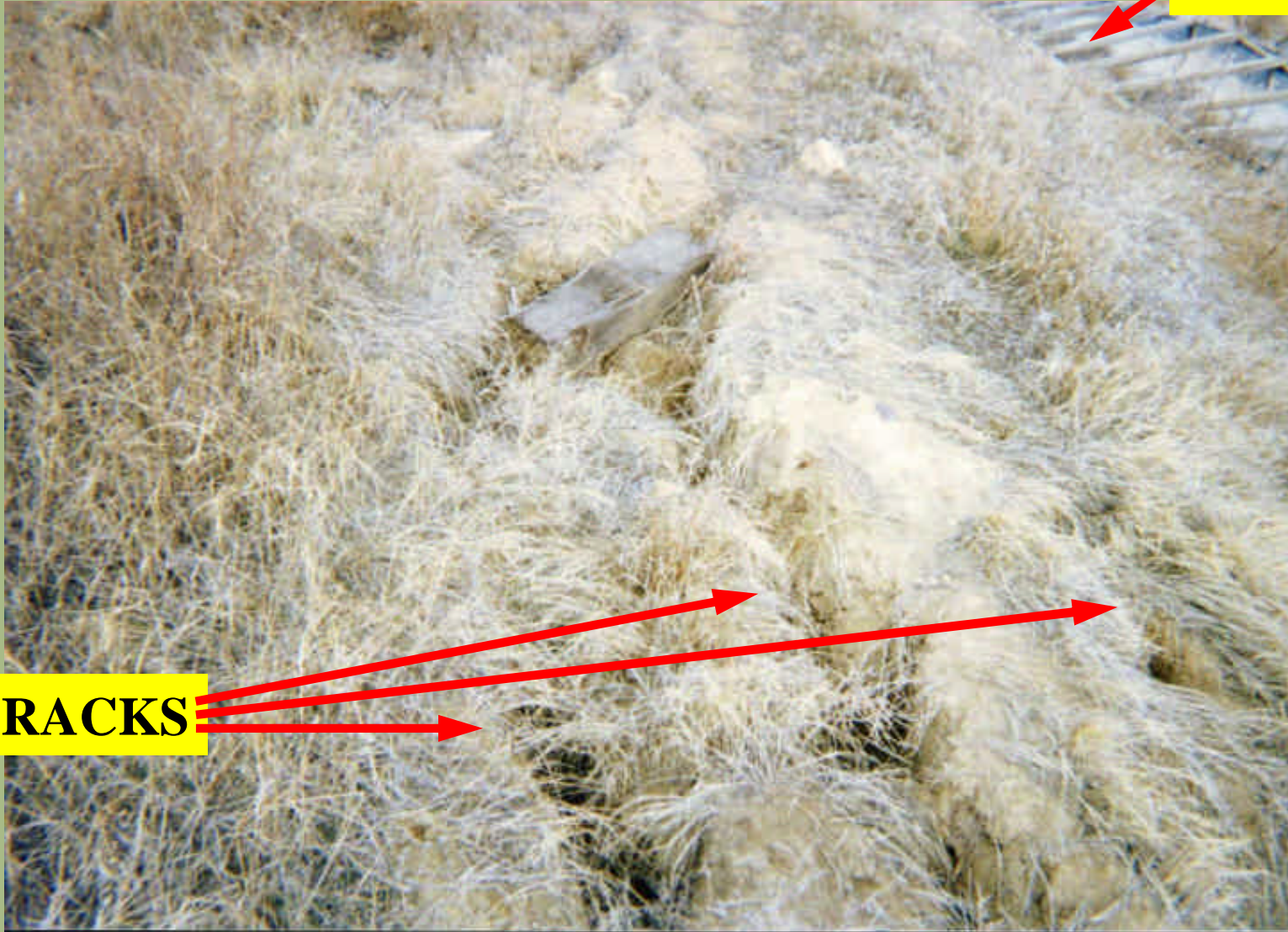
Close up of Bulge in Embankment and Offset Flume



**BULGE IN
EMBANKMENT
AND 2- METER
OFFSET IN FLUME**

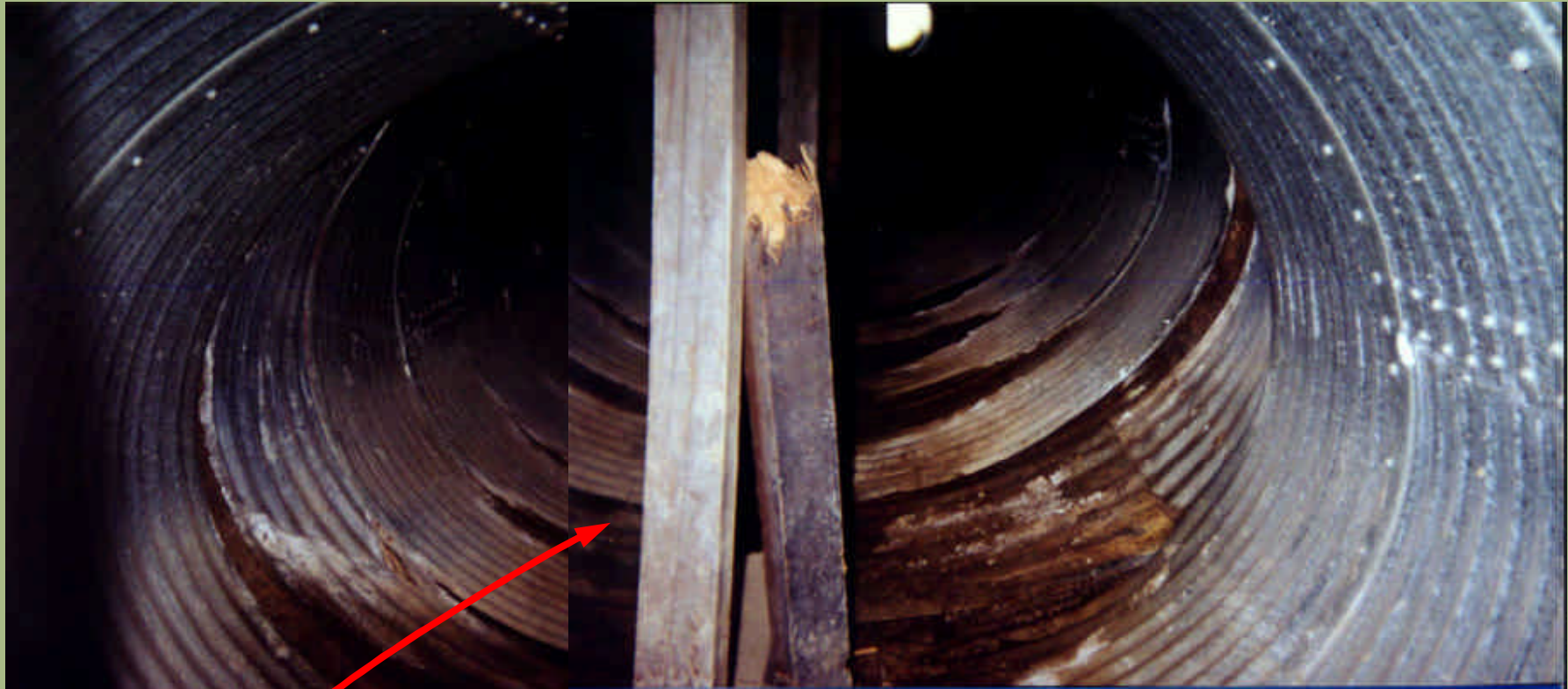
Cracks in Embankment

FLUME



CRACKS

Culvert Damaged



Note that struts were never removed

Old Bridge Piles Buried in Fill

**REMOVE PILE
CAPS**

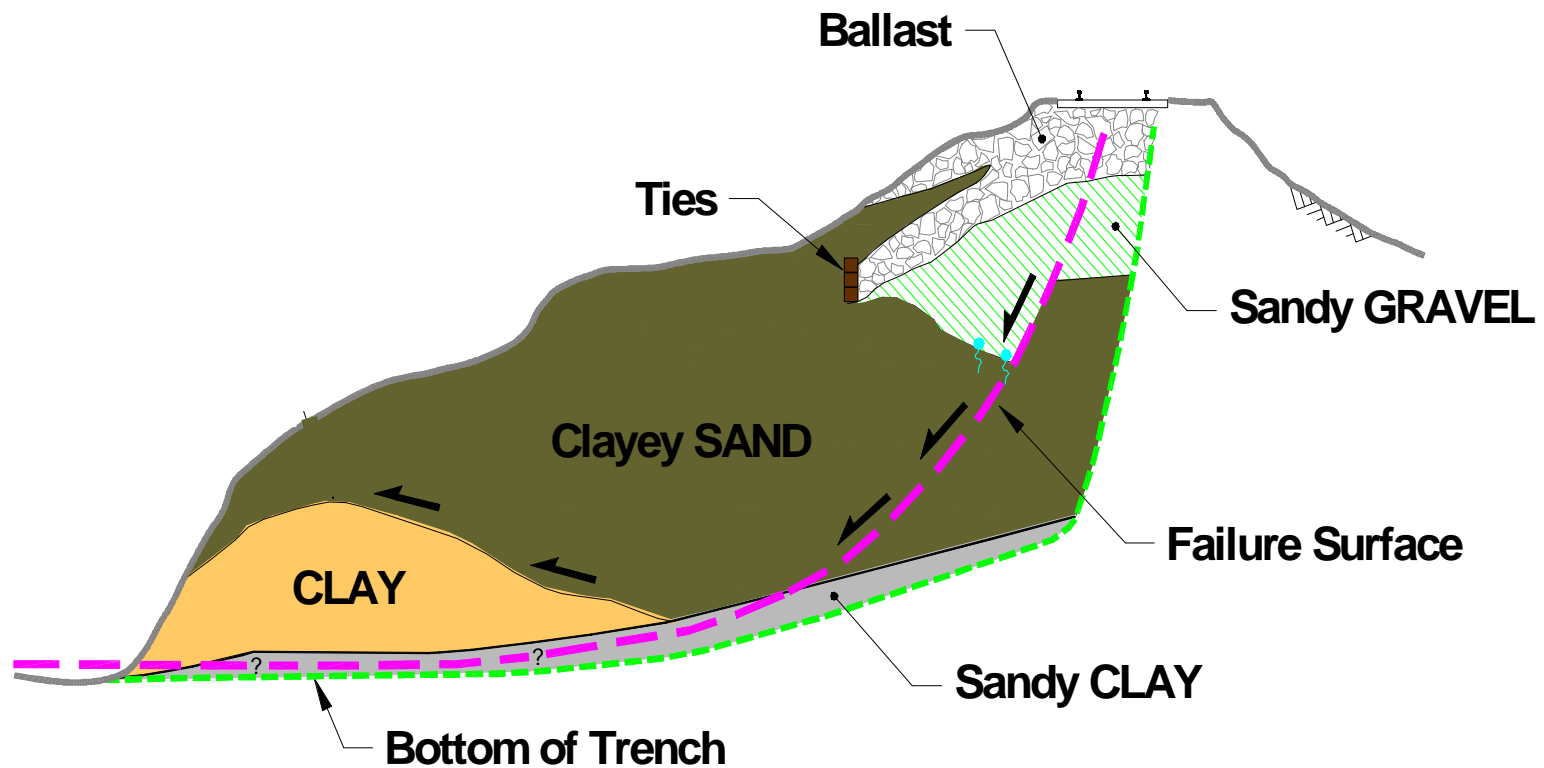


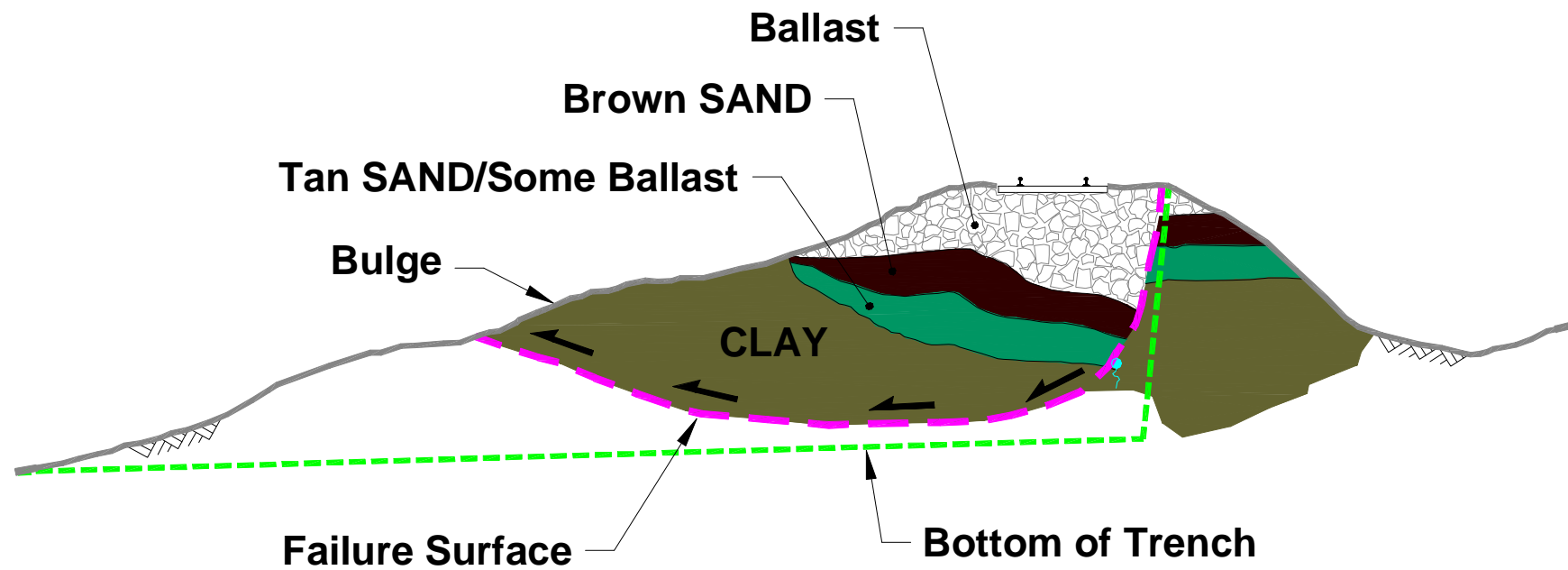
More Old Piles in Fill



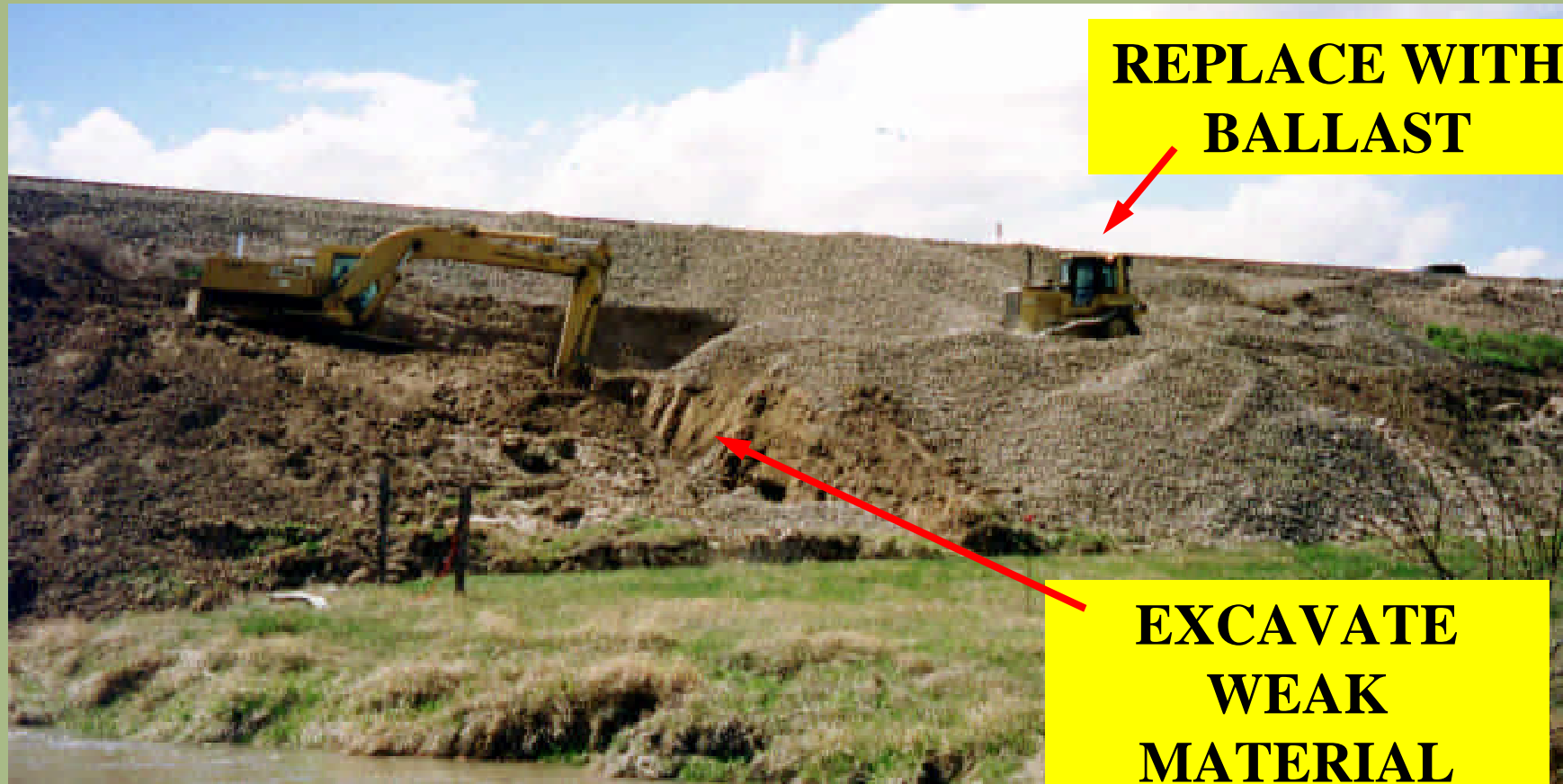
-Note, piles are leaning as a result of embankment movement

Cross Section through the Embankment





Embankment Repair - Weak Soil Replaced - Slope Flattened



**Replacement performed in short segments -
under the guidance of a geotechnical engineer**

Riprap Placed



**PERMITS MAY BE
REQUIRED !!**

Embankment Construction Dos and Do Nots

- DO remove unsuitable soils before placing fill
- DO compact fill materials
- DO keep slopes as flat as possible
- DO NOT forget to provide drainage



Presentation Outline

- Track Components
- Soft Track
- Ballast Pockets
- Drainage and Culverts
- Construction Practices
- **Over Steepened Slopes**
- Riprap
- Record Keeping



Over Loading and Over Steepening of Slopes

... two other common causes of embankment slope failures ...

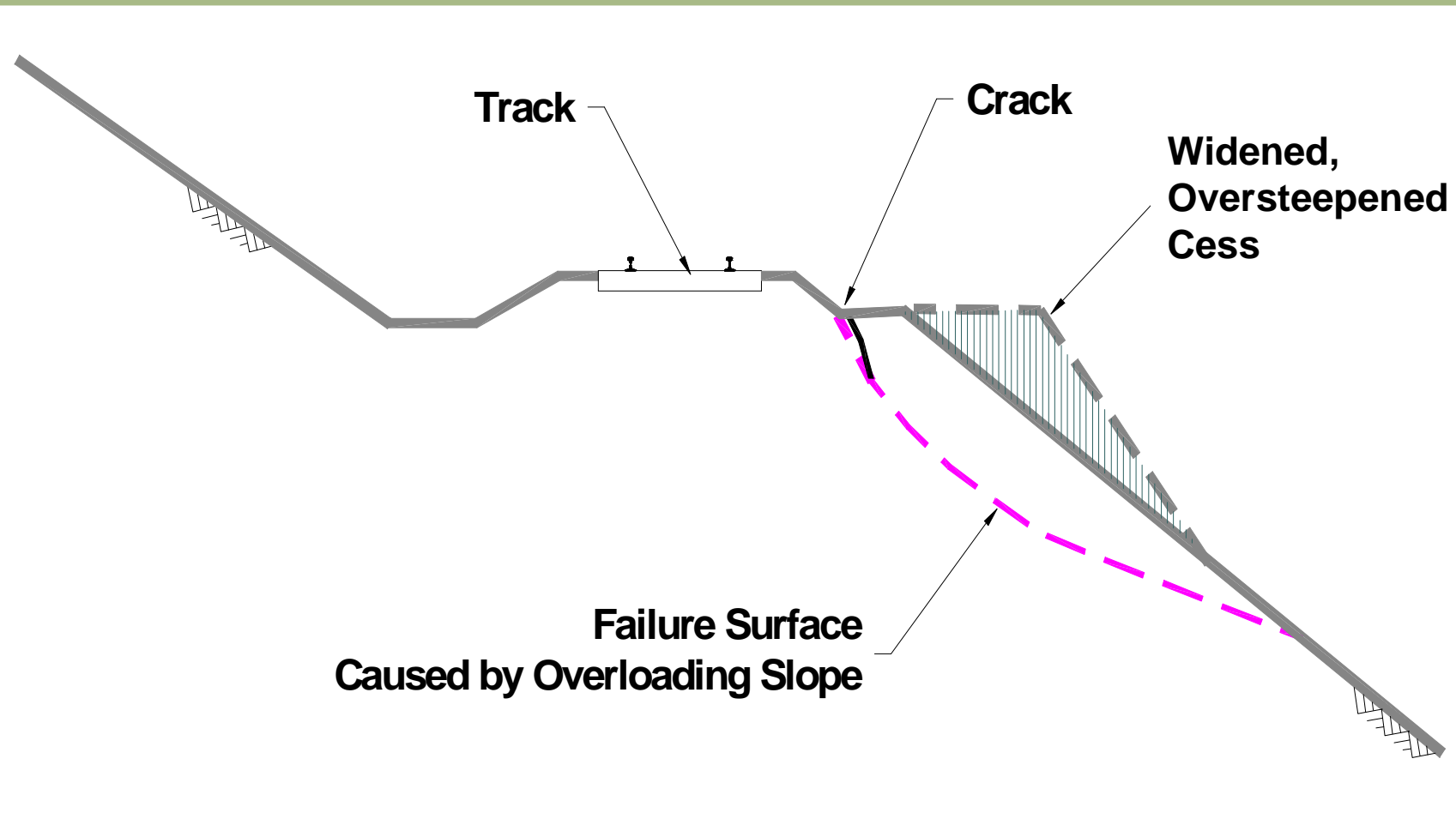


Over Loaded and Over Steepened Slopes Occur as a Result of:

- Excessive raising of the track
- Disposal of material on the slope
- “Bank Widening”
- Erosion of the toe of the slope
- Undercutting of the toe of the slope



Over Loading and Over Steepening of an Existing Slope



Over Loading Slope



**MATERIAL
REMOVED FROM
DITCH PILED ON
CESS.**

**EMBANKMENT
CESS WIDENED**

7.19.1999



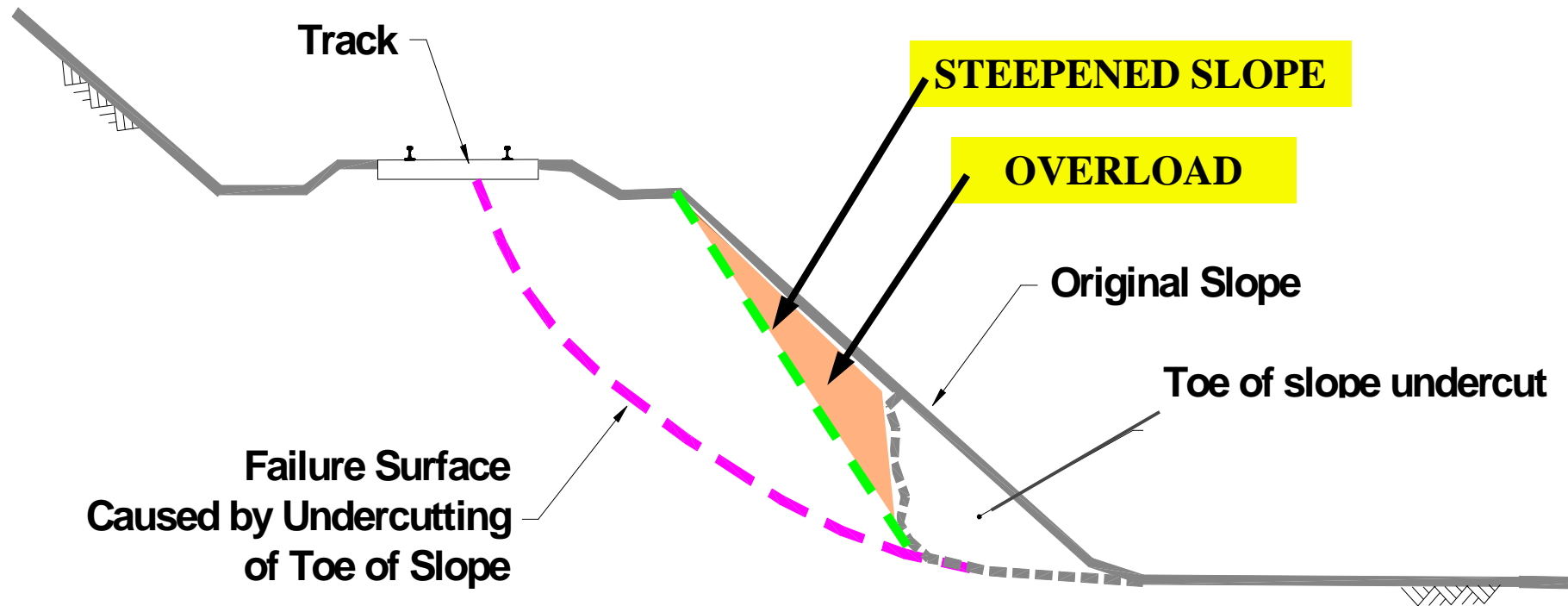
Failure of Over Loaded and Over Steepened Embankment



**SOIL AND ROCK
PLACED ON
SLOPE**

**POOR SURFACE
DRAINAGE ALSO
CONTRIBUTED TO
THIS FAILURE**

Undercutting Embankment Toe



Slope Failure Caused by Erosion at Toe





Slope Over Steepened by Erosion at Toe



Over Steepened and Over Loaded Slopes Dos and Do Nots

- DO protect slopes from being eroded or undercut
- DO NOT dispose of spoil material on slopes or near the top of slopes
- DO NOT perform “Bank Widening”
- DO NOT steepen the slopes of existing embankments



Embankment Flattening and Buttressing

**... flatter slopes are more stable than
steep slopes ...**



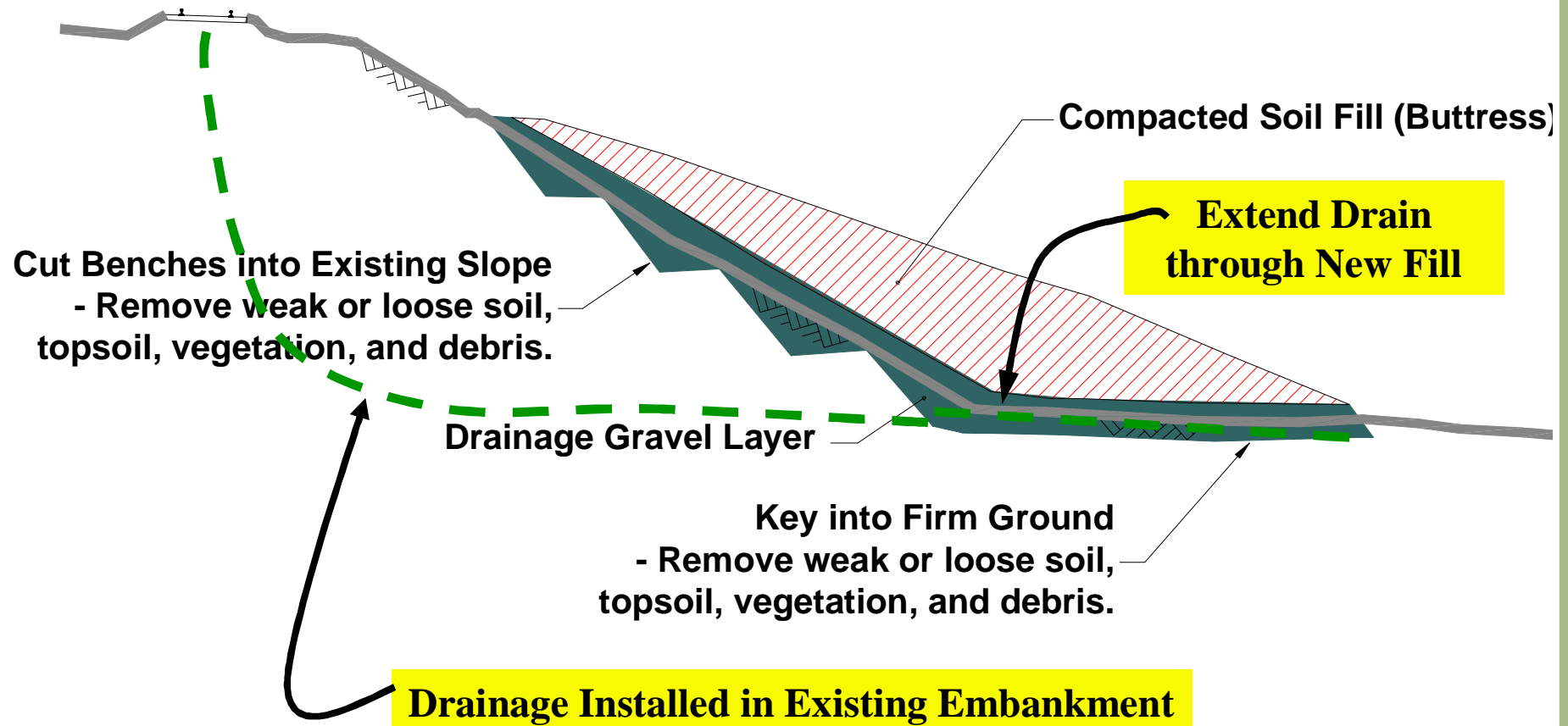
AUSTRALIAN RAIL TRACK CORPORATION LTD



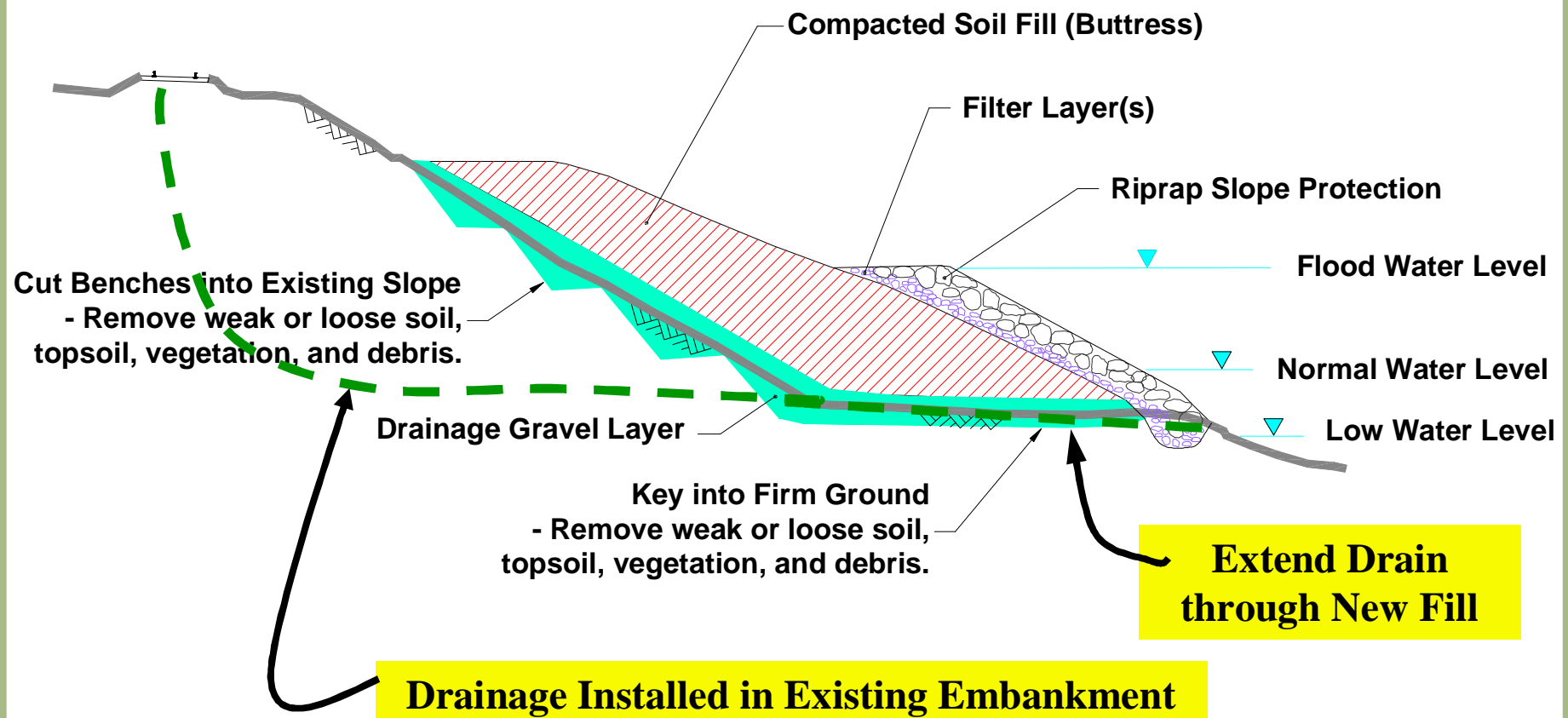
CRS, inc.

Cantrell Rail Services, Inc.

Embankment Stability Improved by Flattening the Slope



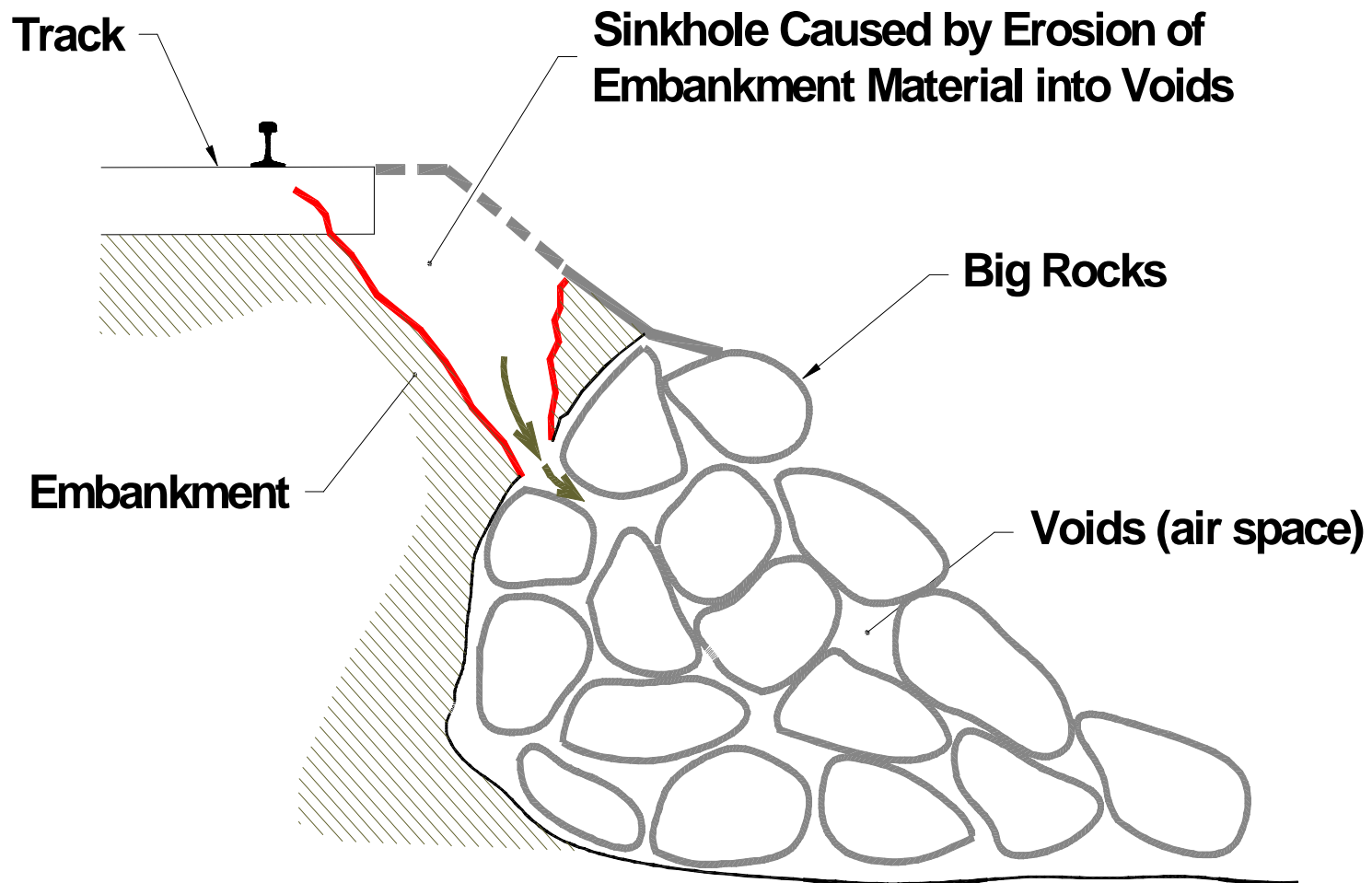
Embankment Stability Improved by Buttressing the Slope



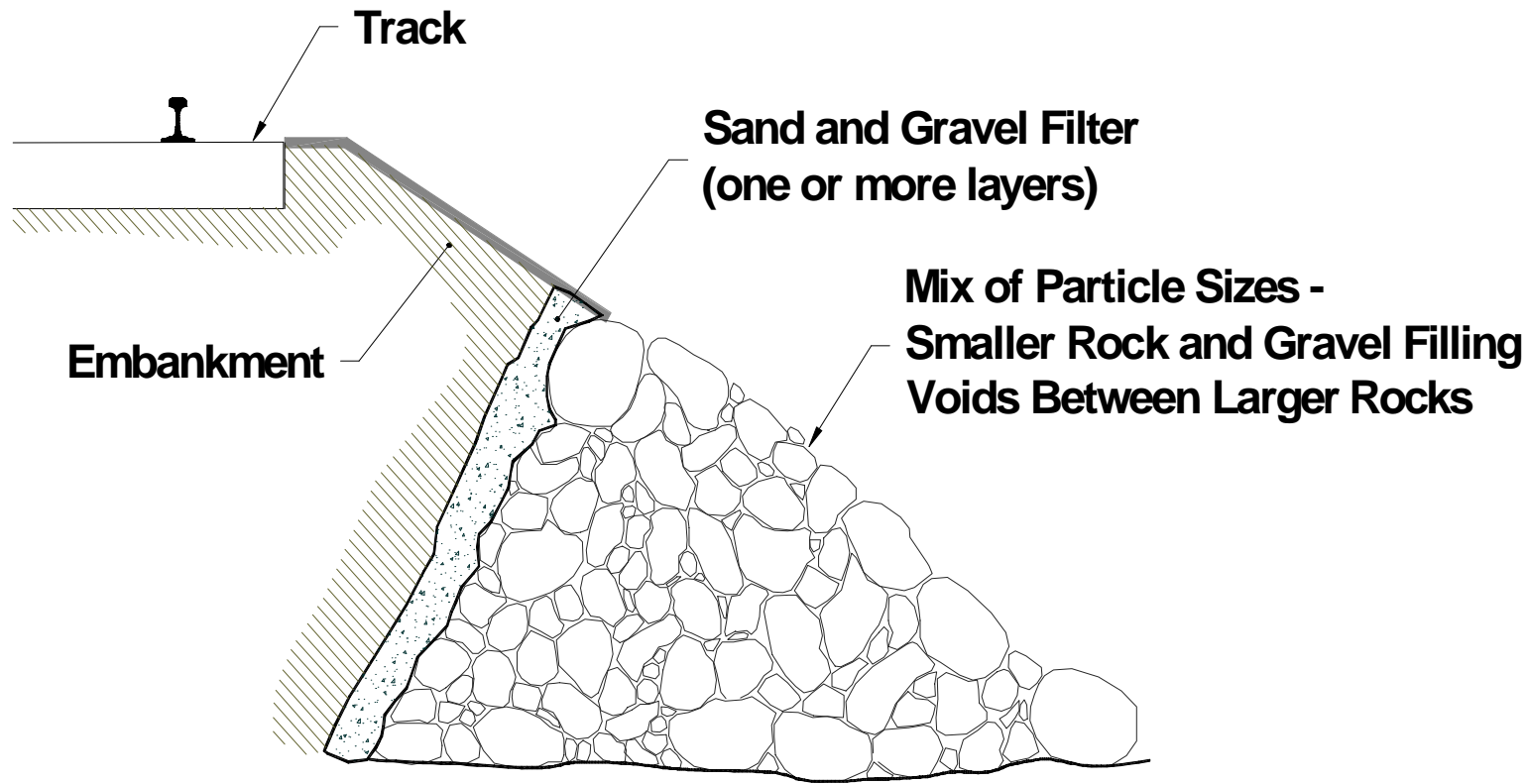
Flattened Slope



Slope Repair, Flattening or Buttressing with Large Rock is Not Recommended



Slope Repair, Flattening or Buttressing with Well-Graded Rock and Filter Layer (if necessary) is Preferred



Embankment Buttressing and Slope Flattening Dos and Do Nots

- DO construct slopes as flat as practical
- DO use compacted soil or well-graded rock and filter layers
- DO provide drainage
- DO NOT use big rock



Presentation Outline

- Track Components
- Soft Track
- Ballast Pockets
- Drainage and Culverts
- Construction Practices
- Over Steepened Slopes
- **Riprap**
- Record Keeping



Riprap Erosion Protection

**... a routine erosion protection
measure that is often constructed
incorrectly ...**





**Washout at a
location
where riprap
had been
dumped**



Rock that has been Dumped down a Slope is Subject to Failure



**SMALL
ROCK AT
TOP OF
SLOPE**

**LARGE
ROCK AT
BOTTOM OF
SLOPE**

However, During Emergency Situations Dumping Riprap may be the Practical and Timely Solution



**SMALL
ROCK AT
TOP OF
SLOPE**

**LARGE
ROCK AT
BOTTOM
OF SLOPE**

Prepare Slope for Riprap Placement



**EXCAVATOR
PLACING
RIPRAP**

Start at the bottom of the slope

Key Riprap into Stream Bed



***** PERMITS REQUIRED *****

Place and Compact Riprap



**Engineering assistance is recommended for
sizing riprap and filter materials**

Completed Riprap Slope Protection



Riprap **Placed** at Culvert Outlets



***** PERMITS MAY BE REQUIRED *****

Riprap Erosion Protection Dos and Do Nots

- DO use well-graded properly sized rocks
- DO use filter layers (if appropriate)
- DO key riprap along bottom and at ends
- DO get permits
- DO get engineering assistance
- DO NOT dump riprap unless absolutely necessary



Presentation Outline

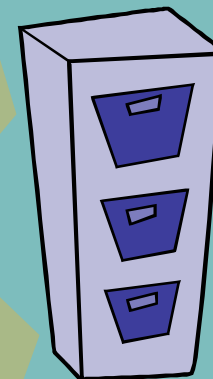
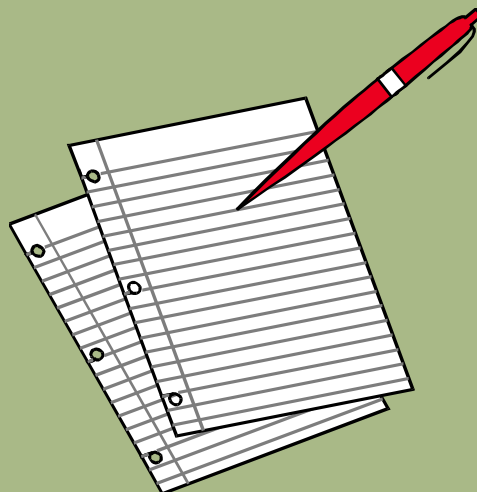
- Track Components
- Soft Track
- Ballast Pockets
- Drainage and Culverts
- Construction Practices
- Over Steepened Slopes
- Riprap
- **Record Keeping**



Record Keeping



**... a critical part of cost effective
resource management ...**



What to Record

- Location
- Name of person making record entry
- Date and time
- Description of problem
- Length of track affected
- Description of site
- Measurements
- Recent weather
- Repairs undertaken



Record Keeping Dos and Do Nots

- DO keep a record for each location
- DO include description of problem and repairs
- DO be accurate
- DO document recent weather
- DO NOT rely on memory - write things down as soon as practical



Dos and Do Nots Summary

Water is a factor in most soft track situations, and ...



AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

SURFACE
DRAINAGE

DRAINAGE

DRAINAGE

DRAINAGE

DRAINAGE

CULVERTS

GROUNDWATER
TRENCH
DRAINS

ARTC

AUSTRALIAN RAIL TRACK CORPORATION LTD



CRS, inc.

Cantrell Rail Services, Inc.

Dos and Do Nots Summary

- DO drain surface water away from track
- DO maintain culverts and install properly
- DO NOT let water pond
- DO provide subsurface drainage



Dos and Do Nots Summary (cont.)

- **DO NOT ignore ballast pockets**
- **DO NOT overload, oversteepen, or undercut slopes**
- **DO properly construct fills and riprap**
- **DO keep records**



Get the Help of a Geotechnical Engineer When Appropriate

